—Chapter 4—

BdG Equations on a Lattice

四第1頁

4-1 Self-consistent BdG Equations

A. EQUATIONS OF MOTION

(1) The BCS Hamiltonian on lattice

$$\begin{split} \widehat{H} &= \sum_{ij\sigma} \left(-t_{ij} \widehat{c}_{i\sigma}^{\dagger} \widehat{c}_{j\sigma} - \widetilde{t}_{ij}^{*} \widehat{c}_{j\sigma}^{\dagger} \widehat{c}_{i\sigma} \right) + \sum_{ij} \left(\Delta_{ij} \widehat{c}_{i\uparrow}^{\dagger} \widehat{c}_{j\downarrow}^{\dagger} + \Delta_{ij}^{*} \widehat{c}_{j\downarrow} \widehat{c}_{i\uparrow} \right) \\ \text{Since the Hamiltonian should be a Hermitian operator, i.e.,} \\ \widehat{H}^{\dagger} &= \widehat{H} \Rightarrow t_{ij}^{*} = t_{ji} \text{ and } \Delta_{ij}^{*} = \Delta_{ji} \end{split}$$

(2) The equations of motion Let the imaginary time $\tau = it$

$$\begin{aligned} -\frac{\partial}{\partial \tau} \hat{c}_{i\sigma} &= \left[\hat{c}_{i\sigma}, \hat{H} \right] \\ -\frac{\partial}{\partial \tau} \hat{c}_{i\sigma}^{\dagger} &= \left[\hat{c}_{i\sigma}^{\dagger}, \hat{H} \right] \\ \text{OS:} \\ \left[a, bc \right] &= \{a, b\}c - b\{a, c\} \\ \left[ab, c \right] &= a\{b, c\} - \{a, c\}b \end{aligned}$$
$$\begin{bmatrix} \hat{c}_{i\sigma}, \hat{H} \end{bmatrix} = \sum_{uv} \left[\hat{c}_{i\sigma}, -t_{uv} \hat{c}_{u\sigma}^{\dagger} \hat{c}_{v\sigma} - t_{uv}^{*} \hat{c}_{v\sigma}^{\dagger} \hat{c}_{u\sigma} + \Delta_{uv} \hat{c}_{u\sigma}^{\dagger} \hat{c}_{v\overline{\sigma}}^{\dagger} \right] \\ &= \sum_{uv} -t_{uv} \hat{c}_{v\sigma} \delta_{iu} - t_{uv}^{*} \hat{c}_{u\sigma} \delta_{iv} + \sigma \Delta_{uv} \hat{c}_{v\overline{\sigma}}^{\dagger} \delta_{iu} \\ &= \sum_{j} -2t_{ij} \hat{c}_{j\sigma} + \sigma \Delta_{ij} \hat{c}_{j\overline{\sigma}}^{\dagger} \\ \xrightarrow{2t \to t} \sum_{j} -t_{ij} \hat{c}_{j\sigma} + \sigma \Delta_{ij} \hat{c}_{j\overline{\sigma}}^{\dagger} \end{aligned}$$

$$\begin{split} \left[\hat{c}_{i\sigma}^{\dagger}, \hat{H} \right] &= \sum_{uv} \left[\hat{c}_{i\sigma}^{\dagger}, -t_{uv} \hat{c}_{u\sigma}^{\dagger} \hat{c}_{v\sigma} - t_{uv}^{*} \hat{c}_{v\sigma}^{\dagger} \hat{c}_{u\sigma} + \Delta_{uv}^{*} c_{v\overline{\sigma}} c_{u\sigma} \right] \\ &= \sum_{uv} t_{uv} \hat{c}_{u\sigma}^{\dagger} \delta_{iv} + t_{uv}^{*} \hat{c}_{v\sigma}^{\dagger} \delta_{iu} - \sigma \Delta_{uv}^{*} c_{v\overline{\sigma}} \delta_{iu} \\ &= \sum_{j} 2t_{ij}^{*} \hat{c}_{j\sigma}^{\dagger} - \sigma \Delta_{ij}^{*} c_{v\overline{\sigma}} \\ \xrightarrow{2t \to t} \sum_{j} t_{ij}^{*} \hat{c}_{j\sigma}^{\dagger} - \sigma \Delta_{ij}^{*} c_{v\overline{\sigma}} \end{split}$$

B. BOGOLIUBOV TRANSFORMATION

(1) Bogoliubov transformations

$$\hat{c}_{i\sigma} = \sum_{n} \left(u_{i}^{n} \hat{\gamma}_{n\sigma} - \sigma v_{i}^{n*} \hat{\gamma}_{n\overline{\sigma}}^{\dagger} \right)$$
$$\hat{c}_{i\sigma}^{\dagger} = \sum_{n} \left(u_{i}^{n*} \hat{\gamma}_{n\sigma}^{\dagger} - \sigma v_{i}^{n} \hat{\gamma}_{n\overline{\sigma}} \right)$$

which are linear transformations of creation and annihilation operators that preserve the anticommutation relation, i.e.,

 $\hat{\gamma}_{n\sigma}\hat{\gamma}_{n\sigma}^{\dagger}+\;\hat{\gamma}_{n\sigma}^{\dagger}\hat{\gamma}_{n\sigma}=1$

(2) The Bogoliubov transformation in matrix form,

$$\begin{pmatrix} \hat{c}_{1\uparrow} \\ \vdots \\ \hat{c}_{N\uparrow} \\ \hat{c}_{1\downarrow}^{\dagger} \\ \vdots \\ \hat{c}_{N\downarrow}^{\dagger} \end{pmatrix} = \begin{pmatrix} u_1^1 & \cdots & u_1^N & -v_1^{1*} & \cdots & -v_1^{N*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ u_N^1 & \cdots & u_N^N & -v_N^{1*} & \cdots & -v_N^{N*} \\ v_1^1 & \cdots & v_1^N & u_1^{1*} & \cdots & u_1^{N*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ v_N^1 & \cdots & v_N^N & u_N^{1*} & \cdots & u_N^{N*} \end{pmatrix} \begin{pmatrix} \hat{\gamma}_{1\uparrow} \\ \vdots \\ \hat{\gamma}_{1\downarrow} \\ \vdots \\ \hat{\gamma}_{N\downarrow}^{\dagger} \end{pmatrix}$$

the transformation matrix is a $2N\times 2N$ matrix. Let

$$c_{\uparrow} = \begin{pmatrix} \hat{c}_{1\uparrow} \\ \vdots \\ \hat{c}_{N\uparrow} \end{pmatrix}, \quad c_{\downarrow}^{\dagger} = \begin{pmatrix} \hat{c}_{1\downarrow}^{\dagger} \\ \vdots \\ \hat{c}_{N\downarrow}^{\dagger} \end{pmatrix}$$
$$u = \begin{pmatrix} u_{1}^{1} & \cdots & u_{1}^{N} \\ \vdots & \ddots & \vdots \\ u_{N}^{1} & \cdots & u_{N}^{N} \end{pmatrix}, \quad v = \begin{pmatrix} v_{1}^{1} & \cdots & v_{1}^{N} \\ \vdots & \ddots & \vdots \\ v_{N}^{1} & \cdots & v_{N}^{N} \end{pmatrix}$$

四第3頁

$$\gamma_{\uparrow} = \begin{pmatrix} \hat{\gamma}_{1\uparrow} \\ \vdots \\ \hat{\gamma}_{N\uparrow} \end{pmatrix}, \qquad \gamma_{\downarrow}^{\dagger} = \begin{pmatrix} \hat{\gamma}_{1\downarrow}^{\dagger} \\ \vdots \\ \hat{\gamma}_{N\downarrow}^{\dagger} \end{pmatrix}$$

The Bogoliubov transformation can be simplified as,

$$\begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{\dagger} \end{pmatrix} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{pmatrix}$$

(3) Since

$$\begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}^{\dagger} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} u^* & v^* \\ -v & u \end{pmatrix}$$
$$= \begin{pmatrix} |u|^2 + |v|^2 & uv^* - v^*u \\ vu^* - u^*v & |u|^2 + |v|^2 \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

where

$$\begin{split} |\mathbf{u}|^2 + |\mathbf{v}|^2 &= \mathbf{1} \Rightarrow \sum_n \left(\left| u_i^n \right|^2 + \left| v_i^n \right|^2 \right) = 1 \cdots \cdots \text{(a)} \\ \mathbf{u}\mathbf{v}^* - \mathbf{v}^*\mathbf{u} &= \mathbf{0} \Rightarrow \sum_n \left(u_i^n v_i^{n*} - v_i^{n*} u_i^n \right) = \mathbf{0} \cdots \cdots \text{(b)} \\ \mathbf{v}\mathbf{u}^* - \mathbf{u}^*\mathbf{v} &= \mathbf{0} \Rightarrow \sum_n \left(v_i^n u_i^{n*} - u_i^{n*} v_i^n \right) = \mathbf{0} \end{split}$$

The Bogoliubov transformation matrix is a unitary matrix, i.e., $\begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}^{\dagger} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}^{-1}$

C. BdG EQUATIONS

(1) Define a spinor operator

$$\begin{split} \psi &= \begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{\dagger} \end{pmatrix} \\ \text{The Hamiltonian in terms of } \psi \text{ and } \psi^{\dagger} \end{split}$$

四第4頁

$$\begin{split} \mathbf{H} &= \begin{pmatrix} \hat{c}_{1\uparrow}^{\dagger} & \cdots & \cdots & \hat{c}_{N\uparrow}^{\dagger} & \hat{c}_{1\downarrow} & \cdots & \cdots & \hat{c}_{N\downarrow} \end{pmatrix} \\ & \cdot \begin{pmatrix} 0 & -t_{12} & \cdots & -t_{1N} & \Delta_{11} & \cdots & \cdots & \Delta_{1N} \\ -t_{21} & 0 & \vdots & \vdots & \vdots & \ddots & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ -t_{N1} & \cdots & \cdots & 0 & \Delta_{N1} & \cdots & \cdots & \Delta_{NN} \\ \Delta_{11}^{*} & \cdots & \cdots & \Delta_{1N}^{*} & 0 & t_{12}^{*} & \cdots & t_{1N}^{*} \\ \vdots & \ddots & \vdots & \vdots & t_{21}^{*} & 0 & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ \Delta_{N1}^{*} & \cdots & \cdots & \Delta_{NN}^{*} & t_{N1}^{*} & \cdots & \cdots & 0 \end{pmatrix} \cdot \begin{pmatrix} \hat{c}_{1\uparrow} \\ \vdots \\ \hat{c}_{N\uparrow} \\ \hat{c}_{1\downarrow}^{\dagger} \\ \vdots \\ \hat{c}_{N\downarrow}^{\dagger} \end{pmatrix} \end{split}$$

Let

$$\mathbf{t} = \begin{pmatrix} 0 & t_{12} & \cdots & t_{1N} \\ t_{21} & 0 & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots \\ t_{N1} & \cdots & \cdots & 0 \end{pmatrix}, \qquad \Delta = \begin{pmatrix} \Delta_{11} & \cdots & \cdots & \Delta_{1N} \\ \vdots & \ddots & \cdots & \vdots \\ \Delta_{N1} & \cdots & \ddots & \vdots \\ \Delta_{N1} & \cdots & \cdots & \Delta_{NN} \end{pmatrix}$$
$$\mathbf{H} = \begin{pmatrix} \mathbf{c}_{\uparrow}^{\dagger} & \mathbf{c}_{\downarrow} \end{pmatrix} \begin{pmatrix} -\mathbf{t} & \Delta \\ \Delta^{*} & \mathbf{t}^{*} \end{pmatrix} \begin{pmatrix} \mathbf{c}_{\uparrow}^{\dagger} \\ \mathbf{c}_{\downarrow}^{\dagger} \end{pmatrix} \cdots \cdots (\mathbf{c})$$

(2) Use the Bogoliubov transformation

$$H = \overbrace{\left(\gamma_{\uparrow}^{\dagger} \quad \gamma_{\downarrow}\right) \left(\begin{matrix} u & -v^{*} \\ v & u^{*} \end{matrix}\right)^{\dagger}}^{\left(\begin{matrix} c_{\uparrow}^{\dagger} & c_{\downarrow} \end{matrix}\right)} \cdot \left(\begin{matrix} c_{\downarrow}^{\dagger} \\ \Delta^{*} & t^{*} \end{matrix}\right) \cdot \overbrace{\left(\begin{matrix} u & -v^{*} \\ v & u^{*} \end{matrix}\right) \left(\begin{matrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{matrix}\right)}^{\left(\begin{matrix} c_{\uparrow} & 0 \\ 0 & -\varepsilon_{\downarrow} \end{matrix}\right) \left(\begin{matrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{matrix}\right)}$$
$$= \sum_{\sigma} E_{\sigma} \gamma_{\sigma}^{\dagger} \gamma_{\sigma} + \varepsilon_{0}$$

where

$$\begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}^{\dagger} \begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}$$

= $\begin{pmatrix} -u^*tu + u^*\Delta v + v^*\Delta^*u + v^*t^*v & u^*tv^* + u^*\Delta u^* - v^*\Delta^*v^* + v^*t^*u^* \\ vtu - v\Delta v + u\Delta^*u + ut^*v & -vtv^* - v\Delta u^* - u\Delta^*v^* + ut^*u^* \end{pmatrix}$

and

$$\begin{split} & u^{*}tv^{*} + u^{*}\Delta u^{*} - v^{*}\Delta^{*}v^{*} + v^{*}t^{*}u^{*} = 0 \\ & vtu - v\Delta v + u\Delta^{*}u + ut^{*}v = 0 \\ & E_{\uparrow} = -tu^{2} + \Delta u^{*}v + \Delta^{*}uv^{*} + t^{*}v^{2} = -tu^{2} + t^{*}v^{2} + 2\Re\{\Delta u^{*}v\} \\ & E_{\downarrow} = -t^{*}u^{2} + \Delta u^{*}v + \Delta^{*}uv^{*} + tv^{2} = -t^{*}u^{2} + tv^{2} + 2\Re\{\Delta u^{*}v\} \end{split}$$

As t is real,

$$\mathsf{E}_{\uparrow} = -\mathsf{t}(\mathsf{u}^2 + \mathsf{v}^2) + 2\Re\{\Delta \mathsf{u}^*\mathsf{v}\} = -\mathsf{t} + 2\Re\{\Delta \mathsf{u}^*\mathsf{v}\}$$

$$\begin{split} E_{\downarrow} &= -t \big(u^2 + v^2 \big) + 2 \Re \{ \Delta u^* v \} = -t + 2 \Re \{ \Delta u^* v \} \\ \Rightarrow E_{\uparrow} &= E_{\downarrow} \end{split}$$

(3) The equations of motion in terms of c_{σ} and c_{σ}^{\dagger}

$$\begin{split} \left[\hat{c}_{i\sigma}, \hat{H} \right] &= \sum_{j} -t_{ij} \hat{c}_{j\sigma} + \sigma \Delta_{ij} \hat{c}_{j\overline{\sigma}}^{\dagger} \\ \left[\hat{c}_{i\sigma}^{\dagger}, \hat{H} \right] &= \sum_{j} t_{ij}^{*} \hat{c}_{j\sigma}^{\dagger} - \sigma \Delta_{ij}^{*} \hat{c}_{j\overline{\sigma}} \\ \\ \left[\begin{pmatrix} \hat{c}_{1\uparrow} \\ \vdots \\ \hat{c}_{N\uparrow} \\ \hat{c}_{1\downarrow}^{\dagger} \\ \vdots \\ \hat{c}_{N\downarrow} \\ \vdots \\ \hat{c}_{N\downarrow}^{\dagger} \end{pmatrix}, \hat{H} \right] &= \begin{pmatrix} 0 & -t_{12} & \cdots & -t_{1N} & \Delta_{11} & \cdots & \cdots & \Delta_{1N} \\ -t_{21} & 0 & \vdots & \vdots & \vdots & \ddots & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ -t_{N1} & \cdots & \cdots & 0 & \Delta_{N1} & \cdots & \cdots & \Delta_{NN} \\ \Delta_{11}^{*} & \cdots & \cdots & \Delta_{1N}^{*} & 0 & t_{12}^{*} & \cdots & t_{1N}^{*} \\ \vdots & \ddots & \vdots & \vdots & t_{21}^{*} & 0 & \cdots & \vdots \\ \Delta_{N1}^{*} & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ \Delta_{N1}^{*} & \cdots & \cdots & \Delta_{NN}^{*} & t_{N1}^{*} & \cdots & \cdots & 0 \end{pmatrix} \begin{pmatrix} \hat{c}_{1\downarrow} \\ \hat{c}_{N\downarrow}^{\dagger} \end{pmatrix} \\ &\Rightarrow \left[\begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{+} \end{pmatrix}, H \right] &= \begin{pmatrix} -t & \Delta \\ \Delta^{*} & t^{*} \end{pmatrix} \begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{+} \end{pmatrix} \cdots (d) \end{split}$$

(4) The equations of motion in terms of γ_{σ} and $\gamma_{\sigma}^{\dagger}$ R.H.S. of equation (d):

 $\begin{bmatrix} \begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{\dagger} \end{pmatrix}, H \end{bmatrix} = \begin{bmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{pmatrix}, H \end{bmatrix} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} E_{\uparrow} & 0 \\ 0 & -E_{\downarrow} \end{pmatrix} \begin{pmatrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{pmatrix}$ L.H.S. of equation (d): $\begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} c_{\uparrow} \\ c_{\downarrow}^{\dagger} \end{pmatrix} = \begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} \gamma_{\uparrow} \\ \gamma_{\downarrow}^{\dagger} \end{pmatrix}$ Thus, we obtain $\begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} E_{\uparrow} & 0 \\ 0 & -E_{\downarrow} \end{pmatrix}$ The equations above are called the Bogoliubov-de Gennes' (BdG)

equations.

(5) Global Index in Code Implementation From the equation (c), the Hamiltonian matrix is

$$\mathbf{H} = \begin{pmatrix} -\mathbf{t} & \Delta \\ \Delta^* & \mathbf{t}^* \end{pmatrix} = \begin{pmatrix} 0 & -t_{12} & \cdots & -t_{1N} & \Delta_{11} & \cdots & \cdots & \Delta_{1N} \\ -t_{21} & 0 & \vdots & \vdots & \vdots & \ddots & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ -t_{N1} & \cdots & \cdots & 0 & \Delta_{N1} & \cdots & \cdots & \Delta_{NN} \\ \Delta_{11}^* & \cdots & \cdots & \Delta_{1N}^* & 0 & t_{12}^* & \cdots & t_{1N}^* \\ \vdots & \ddots & \vdots & \vdots & t_{21}^* & 0 & \cdots & \vdots \\ \vdots & \cdots & \ddots & \vdots & \vdots & \cdots & \ddots & \vdots \\ \Delta_{N1}^* & \cdots & \cdots & \Delta_{NN}^* & t_{N1}^* & \cdots & \cdots & 0 \end{pmatrix}$$

Declare a matrix in code implementation:

$$\mathbf{H} = \begin{pmatrix} -\mathbf{t} & \Delta \\ \Delta^* & \mathbf{t}^* \end{pmatrix} = \begin{pmatrix} h_{1,1} & \cdots & h_{1,N} & h_{1,N+1} & \cdots & h_{1,2N} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ h_{N,1} & \cdots & h_{N,N} & h_{N,N+1} & \cdots & h_{N,2N} \\ h_{N+1,1} & \cdots & h_{N+1,N} & h_{N+1,N+1} & \cdots & h_{N+1,2N} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ h_{2N,1} & \cdots & h_{2N,N} & h_{2N,N+1} & \cdots & h_{2N,2N} \end{pmatrix}$$

Diagonalize ${\bf H}$ and obtain eigenvectors:

$$\begin{pmatrix} \mathbf{u} & -\mathbf{v}^* \\ \mathbf{v} & \mathbf{u}^* \end{pmatrix} = \begin{pmatrix} u_1^1 & \cdots & u_1^N & -v_1^{1*} & \cdots & -v_1^{N*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ u_N^1 & \cdots & u_N^N & -v_N^{1*} & \cdots & -v_N^{N*} \\ v_1^1 & \cdots & v_1^N & u_1^{1*} & \cdots & u_1^{N*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ v_N^1 & \cdots & v_N^N & u_N^{1*} & \cdots & u_N^{N*} \end{pmatrix} = \begin{pmatrix} \mathbf{u}_1 \\ \vdots \\ \mathbf{u}_N \\ \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_N \end{pmatrix}$$

where we define the global indices

$$\mathbf{u}_{i} = (\overbrace{\mathbf{u}_{i}^{1}}^{u_{i}^{1}} \cdots \overbrace{\mathbf{u}_{i}^{N}}^{u_{i}^{N}} \overbrace{\mathbf{u}_{i}^{N+1}}^{-v_{i}^{1*}} \cdots \overbrace{\mathbf{u}_{i}^{2N}}^{-v_{i}^{N*}})$$
$$\mathbf{v}_{i} = (\overbrace{\mathbf{v}_{i}^{1}}^{v_{i}^{1}} \cdots \overbrace{\mathbf{v}_{i}^{N}}^{v_{i}^{N}} \overbrace{\mathbf{v}_{i}^{N+1}}^{u_{i}^{1*}} \cdots \overbrace{\mathbf{v}_{i}^{2N}}^{v_{i}^{N*}})$$

OS:

After diagonalization, we should use the normalization conditions to verify the global index as follows:

$$\sum_{n}^{n} \left(\left| u_{i}^{n} \right|^{2} + \left| v_{i}^{n} \right|^{2} \right) = 1$$
$$\sum_{n}^{n} \left(u_{i}^{n} v_{i}^{n*} - v_{i}^{n*} u_{i}^{n} \right) = 0$$

eigenvalues:

四第7頁

$$\begin{pmatrix} E_{\uparrow} & 0\\ 0 & -E_{\downarrow} \end{pmatrix} = \begin{pmatrix} E_{1} & & & & \\ & \ddots & & 0 & \\ & & E_{N} & & \\ & & & E_{N+1} & \\ 0 & & & \ddots & \\ & & & & E_{2N} \end{pmatrix}$$
 where
$$\begin{pmatrix} E_{1} \\ \vdots \\ E_{N} \\ E_{N+1} \\ \vdots \\ E_{2N} \end{pmatrix} = \begin{pmatrix} E_{1\uparrow} \\ \vdots \\ E_{N\uparrow} \\ -E_{1\downarrow} \\ \vdots \\ -E_{N\downarrow} \end{pmatrix}$$

D. SELF-CONSISTENT CONDITIONS AND ORDER PARAMETERS

(1) Electron density:

$$\begin{split} \langle \hat{n}_{i\uparrow} \rangle &= \left\langle \hat{c}_{i\uparrow}^{\dagger} \hat{c}_{i\uparrow} \right\rangle \\ &= \sum_{n} \left\langle \left(u_{i}^{n*} \hat{\gamma}_{n\uparrow}^{\dagger} - v_{i}^{n} \hat{\gamma}_{n\downarrow} \right) \left(u_{i}^{n} \hat{\gamma}_{n\uparrow} - v_{i}^{n*} \hat{\gamma}_{n\downarrow}^{\dagger} \right) \right\rangle \\ &= \sum_{n} \left[\left| u_{i}^{n} \right|^{2} \left\langle \hat{\gamma}_{n\uparrow}^{\dagger} \hat{\gamma}_{n\uparrow} \right\rangle + \left| v_{i}^{n} \right|^{2} \left\langle \hat{\gamma}_{n\downarrow} \hat{\gamma}_{n\downarrow}^{\dagger} \right\rangle \right] \\ &= \sum_{n} \left[\left| u_{i}^{n} \right|^{2} f(E_{n\uparrow}) + \left| v_{i}^{n} \right|^{2} f(-E_{n\downarrow}) \right] \\ \langle n_{i\downarrow} \rangle &= \left\langle c_{i\downarrow}^{\dagger} c_{i\downarrow} \right\rangle \\ &= \sum_{n} \left\langle \left(u_{i}^{n*} \hat{\gamma}_{n\downarrow}^{\dagger} + v_{i}^{n} \hat{\gamma}_{n\uparrow} \right) \left(u_{i}^{n} \hat{\gamma}_{n\downarrow} + v_{i}^{n*} \hat{\gamma}_{n\uparrow}^{\dagger} \right) \right\rangle \\ &= \sum_{n} \left[v_{i}^{n} v_{i}^{n*} \left\langle \hat{\gamma}_{n\uparrow} \hat{\gamma}_{n\uparrow}^{\dagger} \right\rangle + u_{i}^{n*} u_{i}^{n} \left\langle \hat{\gamma}_{n\downarrow}^{\dagger} \hat{\gamma}_{n\downarrow} \right\rangle \right] \\ &= \sum_{n} \left[\left| v_{i}^{n} \right|^{2} f(-E_{n\uparrow}) + \left| u_{i}^{n} \right|^{2} (E_{n\downarrow}) \right] \\ \text{Using global indices, we obtain} \end{split}$$

$$\langle n_{i\uparrow} \rangle = \sum_{n} \left[\left| u_i^n \right|^2 f(E_{n\uparrow}) + \left| v_i^n \right|^2 f(-E_{n\downarrow}) \right] = \sum_{n} \left| \mathbf{u}_i^n \right|^2 f(E_n)$$

四第8頁

$$\langle n_{i\downarrow} \rangle = \sum_{n} \left[\left| v_i^n \right|^2 f(-E_{n\uparrow}) + \left| u_i^n \right|^2 (E_{n\downarrow}) \right] = \sum_{n} \left| \mathbf{v}_i^n \right|^2 \left[1 - f(E_n) \right]$$

Since

Since

$$f(E_n) = \frac{1}{e^{\beta E_n} + 1} = \frac{1}{2} \frac{2}{e^{\beta E_n} + 1} = \frac{1}{2} \left(1 - \frac{e^{\beta E_n} - 1}{e^{\beta E_n} + 1} \right) = \frac{1}{2} \left(1 - \frac{e^{\beta E_n/2} - e^{-\beta E_n/2}}{e^{\beta E_n/2} + e^{-\beta E_n/2}} \right) = \frac{1}{2} \left(1 - \tanh \frac{\beta E_n}{2} \right) \langle n_{i\downarrow} \rangle = \sum_{n=1}^{2N} |\mathbf{v}_i^n|^2 \left[1 - \tanh \frac{\beta E_n}{2} \right) \langle n_{i\downarrow} \rangle = \sum_{n=1}^{2N} |\mathbf{v}_i^n|^2 \left[1 - \frac{1}{2} \left(1 - \tanh \frac{\beta E_n}{2} \right) \right] = \sum_{n=1}^{2N} |\mathbf{v}_i^n|^2 \frac{1}{2} \left(1 + \tanh \frac{\beta E_n}{2} \right)$$

)

$$\begin{split} \Delta_{ij} &= V\left(c_{i\uparrow}c_{j\downarrow}\right) = \frac{V}{2}\left(c_{i\uparrow}c_{j\downarrow} - c_{j\downarrow}c_{i\uparrow}\right) = \frac{V}{2}\left(\left(c_{i\uparrow}c_{j\downarrow}\right) - \left(c_{j\downarrow}c_{i\uparrow}\right)\right) \\ \left(c_{i\uparrow}c_{j\downarrow}\right) &= \sum_{n} \left(\left(u_{i}^{n}\hat{\gamma}_{n\uparrow} - v_{i}^{n*}\hat{\gamma}_{n\downarrow}^{\dagger}\right)\left(u_{j}^{n}\hat{\gamma}_{n\downarrow} + v_{j}^{n*}\hat{\gamma}_{n\uparrow}^{\dagger}\right)\right) \\ &= \sum_{n} \left[u_{i}^{n}v_{j}^{n*}\left(\hat{\gamma}_{n\uparrow}\hat{\gamma}_{n\uparrow}^{\dagger}\right) - v_{i}^{n*}u_{j}^{n}\left(\hat{\gamma}_{n\downarrow}\hat{\gamma}_{n\downarrow}\right)\right] \\ &= \sum_{n} \left[u_{i}^{n}v_{j}^{n*}f\left(-E_{n\uparrow}\right) - v_{i}^{n*}u_{j}^{n}f\left(E_{n\downarrow}\right)\right] \\ \left(c_{i\uparrow}c_{j\downarrow}\right) &= \sum_{n} \left(\left(u_{j}^{n}\hat{\gamma}_{n\downarrow} + v_{j}^{n*}\hat{\gamma}_{n\uparrow}^{\dagger}\right)\left(u_{i}^{n}\hat{\gamma}_{n\uparrow} - v_{i}^{n*}\hat{\gamma}_{n\downarrow}^{\dagger}\right)\right) \\ &= \sum_{n} \left[-v_{j}^{n*}u_{i}^{n}\left(\hat{\gamma}_{n\uparrow}\hat{\gamma}_{n\uparrow}\right) + u_{j}^{n}v_{i}^{n*}\left(\hat{\gamma}_{n\downarrow}\hat{\gamma}_{n\downarrow}^{\dagger}\right)\right] \\ &= \sum_{n} \left[-v_{j}^{n*}u_{i}^{n}f\left(E_{n\uparrow}\right) + u_{j}^{n}v_{i}^{n*}f\left(-E_{n\downarrow}\right)\right] \end{split}$$

四第9頁

Using global indices, we obtain

$$\begin{split} \Delta_{ij} &= \frac{V}{2} \sum_{n} \left[u_i^n v_j^{n*} f(-E_{n\uparrow}) - v_i^{n*} u_j^n f(E_{n\downarrow}) - v_j^{n*} u_i^n f(E_{n\uparrow}) + u_j^n v_i^{n*} f(-E_{n\downarrow}) \right] \\ &= \frac{V}{2} \sum_{n=1}^{2N} \left[\mathbf{u}_i^n \mathbf{v}_j^{n*} f(-E_n) - \mathbf{u}_i^n \mathbf{v}_j^{n*} f(E_n) \right] \\ &= \frac{V}{2} \sum_{n=1}^{2N} \mathbf{u}_i^n \mathbf{v}_j^{n*} [1 - 2f(E_n)] \\ \text{Since } 1 - 2f(E_n) = 1 - \frac{2}{e^{\beta E_n} + 1} = \frac{e^{\beta E_n} - 1}{e^{\beta E_n} + 1} = \tanh \frac{\beta E_n}{2} \\ \Delta_{ij} &= \frac{V}{2} \sum_{n=1}^{2N} \mathbf{u}_i^n \mathbf{v}_j^{n*} \tanh \frac{\beta E_n}{2} \end{split}$$

EXAMPLES:

1. Solve the BdG equations for the d-wave superconductivity,

$$\begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} = \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} E_{\uparrow} & 0 \\ 0 & -E_{\downarrow} \end{pmatrix}$$

We can then obtain the pairing using

$$\Delta_{ij} = \frac{V}{2} \sum_{n=1}^{2N} \mathbf{u}_i^n \mathbf{v}_j^{n*} \tanh \frac{\beta E_n}{2}$$

The d-wave superconductivity is

$$\Delta_i = \frac{1}{4} \Big(\Delta_{i+x} + \Delta_{i-x} - \Delta_{i+y} - \Delta_{i-y} \Big)$$

(3) D-density wave (DDW) order:

$$W_{ij\uparrow} = \frac{V}{2} \left\langle c_{i\uparrow}^{\dagger} c_{j\uparrow} - c_{j\uparrow}^{\dagger} c_{i\uparrow} \right\rangle = \frac{V}{2} \left(\left\langle c_{i\uparrow}^{\dagger} c_{j\uparrow} \right\rangle - \left\langle c_{i\uparrow}^{\dagger} c_{j\uparrow} \right\rangle^{*} \right) = V \cdot \Im \left\langle c_{i\uparrow}^{\dagger} c_{j\uparrow} \right\rangle$$
$$W_{ij\downarrow} = \frac{V}{2} \left\langle c_{i\downarrow}^{\dagger} c_{j\downarrow} - c_{j\downarrow}^{\dagger} c_{i\downarrow} \right\rangle = \frac{V}{2} \left(\left\langle c_{i\downarrow}^{\dagger} c_{j\downarrow} \right\rangle - \left\langle c_{i\downarrow}^{\dagger} c_{j\downarrow} \right\rangle^{*} \right) = V \cdot \Im \left\langle c_{i\downarrow}^{\dagger} c_{j\downarrow} \right\rangle$$
$$W_{ij} = W_{ij\uparrow} + W_{ij\downarrow} = V \cdot \Im \left(\left\langle c_{i\uparrow}^{\dagger} c_{j\uparrow} \right\rangle + \left\langle c_{i\downarrow}^{\dagger} c_{j\downarrow} \right\rangle \right)$$

四第10頁

$$\begin{split} \left\langle c_{i\uparrow}^{\dagger}c_{j\uparrow}\right\rangle &= \sum_{n} \left\langle \left(u_{i}^{n*}\gamma_{n\uparrow}^{\dagger} - v_{i}^{n}\gamma_{n\downarrow}\right)\left(u_{j}^{n}\gamma_{n\uparrow} - v_{j}^{n*}\gamma_{n\downarrow}^{\dagger}\right)\right\rangle \\ &= \sum_{n} \left\langle \left(u_{i}^{n*}\gamma_{n\uparrow}^{\dagger} - v_{i}^{n}\gamma_{n\downarrow}\right)\left(u_{j}^{n}\gamma_{n\uparrow} - v_{j}^{n*}\gamma_{n\downarrow}^{\dagger}\right)\right\rangle \\ &= \sum_{n} \left[u_{i}^{n*}u_{j}^{n}\left\langle\gamma_{n\uparrow}^{\dagger}\gamma_{n\uparrow}\right\rangle + v_{i}^{n}v_{j}^{n*}\left\langle\gamma_{n\downarrow}\gamma_{n\downarrow}^{\dagger}\right\rangle\right] \\ &= \sum_{n} \left[u_{i}^{n*}u_{j}^{n}f(E_{n\uparrow}) + v_{i}^{n}v_{j}^{n*}f(-E_{n\downarrow})\right] \\ \left\langle c_{i\downarrow}^{\dagger}c_{j\downarrow}\right\rangle &= \sum_{n} \left\langle \left(u_{i}^{n*}\gamma_{n\downarrow}^{\dagger} + v_{i}^{n}\gamma_{n\uparrow}\right)\left(u_{j}^{n}\gamma_{n\downarrow} + v_{j}^{n*}\gamma_{n\uparrow}^{\dagger}\right)\right\rangle \\ &= \sum_{n} \left[u_{i}^{n*}u_{j}^{n}\left\langle\gamma_{n\downarrow}^{\dagger}\gamma_{n\downarrow}\right\rangle + v_{i}^{n}v_{j}^{n*}\left\langle\gamma_{n\uparrow}\gamma_{n\uparrow}^{\dagger}\right\rangle\right] \\ &= \sum_{n} \left[u_{i}^{n*}u_{j}^{n}f(E_{n\downarrow}) + v_{i}^{n}v_{j}^{n*}f(-E_{n\uparrow})\right] \end{split}$$

Using global indices, we obtain

$$W_{ij} = V \cdot \Im \sum_{n} \left[u_i^{n*} u_j^n f(E_{n\uparrow}) + v_i^n v_j^{n*} f(-E_{n\downarrow}) \right.$$
$$\left. + u_i^{n*} u_j^n f(E_{n\downarrow}) + v_i^n v_j^{n*} f(-E_{n\uparrow}) \right]$$
$$= V \cdot \Im \sum_{n=1}^{2N} \left[\mathbf{u}_i^{n*} \mathbf{u}_j^n f(E_n) + \mathbf{v}_i^{n*} \mathbf{v}_j^n [1 - f(E_n)] \right]$$

4-2 Magnetic Field Effect

A. PEIERLS SUBSTITUTION IN TIGHT-BINDING MODEL

(1) When apply an external magnetic field, the single-particle Hamiltonian and the Bloch eigenfunctions are

$$\hat{\mathcal{H}}_{B} = \frac{1}{2m} \left(\hat{\mathcal{P}} + \frac{e}{c} \vec{A} \right)^{2} + V(\vec{r})$$
$$\tilde{\psi}_{k}(\vec{r}) = \frac{1}{\sqrt{N}} \sum_{R} e^{i\vec{k}\cdot\vec{R}} \, \widetilde{w} \left(\vec{r} - \vec{R} \right)$$

Since in the presence of a magnetic field, the only term changed in the Hamiltonian is the momentum operator as

$$\vec{p} \rightarrow \vec{p} + \frac{e}{c}\vec{A}$$

Thus, we can assume the Wannier function as

$$\widetilde{w}\left(\vec{r}-\vec{R}_{i}\right)=e^{i\phi}w\left(\vec{r}-\vec{R}\right)$$

The Schrödinger equation gives

$$\begin{aligned} \widehat{\mathcal{H}}_{B}\widetilde{\psi}_{k}(\vec{r}) &= \frac{1}{\sqrt{N}} \sum_{R} e^{i\vec{k}\cdot\vec{R}} \,\widehat{\mathcal{H}}\,\widetilde{w}\left(\vec{r}-\vec{R}\right) \\ &= \frac{1}{\sqrt{N}} \sum_{R} e^{i\vec{k}\cdot\vec{R}} \left[\frac{1}{2m} \left(\hat{p} + \frac{e}{c}\vec{A} \right)^{2} + V(\vec{r}) \right] \widetilde{w}\left(\vec{r}-\vec{R}\right) \end{aligned}$$

Since

$$\begin{split} \hat{\mathscr{P}}e^{i\phi}w\left(\vec{r}-\vec{R}\right) &= -i\hbar\nabla e^{i\phi}w\left(\vec{r}-\vec{R}\right) \\ &= -i\hbar\left[e^{i\phi}\nabla w\left(\vec{r}-\vec{R}\right) + ie^{i\phi}\nabla\phi w\left(\vec{r}-\vec{R}\right)\right] \\ &= e^{i\phi}(\hat{\mathscr{P}} + \hbar\nabla\phi)w\left(\vec{r}-\vec{R}\right) \\ \left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A}\right)^{2}\widetilde{w}\left(\vec{r}-\vec{R}\right) &= \left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A}\right)\cdot\left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A}\right)e^{i\phi}w\left(\vec{r}-\vec{R}\right) \\ &= \left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A}\right)\cdot e^{i\phi}\left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A} + \hbar\nabla\phi\right)w\left(\vec{r}-\vec{R}\right) \\ &= e^{i\phi}\left(\hat{\mathscr{P}} + \frac{e}{c}\vec{A} + \hbar\nabla\phi\right)^{2}w\left(\vec{r}-\vec{R}\right) \end{split}$$

Thus, we obtain

$$\widehat{\mathcal{H}}_B \widetilde{\psi}_k(\vec{r}) = \frac{1}{\sqrt{N}} \sum_R e^{i\vec{k}\cdot\vec{R}} e^{i\phi} \left[\frac{1}{2m} \left(\hat{p} + \frac{e}{c}\vec{A} + \hbar\nabla\phi \right)^2 + V(\vec{r}) \right] w\left(\vec{r} - \vec{R}\right)$$

Since

Since

四第12頁

$$\widehat{\mathcal{H}}\psi_k(\vec{r}) = \left[\frac{\hat{p}^2}{2m} + V(\vec{r})\right]\psi_k(\vec{r}) = \varepsilon_k\psi_k(\vec{r})$$

We need to set

$$\frac{e}{c}\vec{A} + \hbar\nabla\phi = 0 \Rightarrow \phi = -\frac{e}{\hbar c}\int_{R}^{r}\vec{A}(\vec{r}')\cdot d\vec{r}' \cdots (a)$$

Thus, we obtain $\widehat{\mathcal{H}}_B \widetilde{\psi}_k(\vec{r}) = e^{i\phi} \widehat{\mathcal{H}} \psi_k(\vec{r}) = e^{i\phi} \varepsilon_k \psi_k(\vec{r}) = \varepsilon_k \widetilde{\psi}_k(\vec{r})$ \Rightarrow The magnetic field has no effect on the eigenenergy at the scale of the crystal lattice and only adds a phase term in the Bloch wavefunction.

(2) Thus, the hopping integral is

$$\begin{split} \tilde{t}_{ij} &= -\int \widetilde{w}^* \left(\vec{r} - \vec{R}_i \right) \widehat{\mathcal{H}}_B \widetilde{w} \left(\vec{r} - \vec{R}_j \right) d^3 r \\ &= -\int e^{-i\phi_i} w^* \left(\vec{r} - \vec{R}_i \right) e^{i\phi_j} \widehat{\mathcal{H}} w \left(\vec{r} - \vec{R}_j \right) d^3 r \\ &= -\int e^{-i(\phi_i - \phi_j)} w^* \left(\vec{r} - \vec{R}_i \right) \widehat{\mathcal{H}} w \left(\vec{r} - \vec{R}_j \right) d^3 r \\ &= -e^{-i(\phi_i - \phi_j)} t_{ij} \end{split}$$

Since

$$\begin{split} \phi_i - \phi_j &= -\frac{e}{\hbar c} \Biggl(\int_{R_i}^r \vec{A}(\vec{r}') \cdot d\vec{r}' + \int_{R_j}^r \vec{A}(\vec{r}') \cdot d\vec{r}' \Biggr) \\ &= -\frac{e}{\hbar c} \int_{R_i \to r \to R_j} \vec{A}(\vec{r}') \cdot d\vec{r}' \\ &= -\frac{e}{\hbar c} \oint_{\vec{R}_i \to \vec{r} \to \vec{R}_j \to \vec{R}_i} \vec{A}(\vec{r}') \cdot d\vec{r}' - \frac{e}{\hbar c} \int_{R_i}^{R_j} \vec{A}(\vec{r}') \cdot d\vec{r}' \end{split}$$

Since we assume $\tilde{A}(\vec{r})$ is approximately uniform at the lattice scale the scale at which the Wannier states are localized to the positions - we can approximate,

$$-\frac{e}{\hbar c}\oint_{\vec{R}_i\to\vec{r}\to\vec{R}_j\to\vec{R}_i}\vec{A}(\vec{r}')\cdot d\vec{r}'\approx 0$$

Let

$$\phi_{ij} = \frac{e}{\hbar c} \int_{R_i}^{R_j} \vec{A}(\vec{r}') \cdot d\vec{r}' = \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}') \cdot d\vec{r}'$$

四第13頁

where Φ_0 is the single-particle flux quantum,

$$\Phi_0 = \frac{hc}{e} = 2.07 \times 10^{-15} \,\mathrm{Tm}^2$$

Thus, we obtain

$$\begin{split} \phi_i - \phi_j &\approx -\phi_{ij} \\ \text{which is yielding the desired result,} \\ \tilde{t}_{ij} &= t_{ij} e^{i\phi_{ij}} \\ &\Rightarrow \text{Magnetic fields are incorporated in the tight-binding model by} \end{split}$$

 \rightarrow Magnetic fields are incorporated in the tight-binding model by adding a phase to the hopping terms, i.e., the magnetic field enters the kinetic part of the Hamiltonian through a phase factor.

(3) Thus, the tight-binding Hamiltonian is

$$\widehat{\mathcal{H}}_B = \sum_{ij\sigma} -\widetilde{t}_{ij}c_{i\sigma}^{\dagger}c_{j\sigma} + \sum_{ij}\Delta_{ij}c_{i\uparrow}^{\dagger}c_{j\downarrow}^{\dagger} + \text{H.c.}$$

Now, we can solve the BdG equations as follows:

$$\begin{pmatrix} -\tilde{\mathbf{t}} & \tilde{\Delta} \\ \tilde{\Delta}^* & \tilde{\mathbf{t}}^* \end{pmatrix} \begin{pmatrix} \tilde{\mathbf{u}} & -\tilde{\mathbf{v}}^* \\ \tilde{\mathbf{v}} & \tilde{\mathbf{u}}^* \end{pmatrix} = \begin{pmatrix} \tilde{\mathbf{u}} & -\tilde{\mathbf{v}}^* \\ \tilde{\mathbf{v}} & \tilde{\mathbf{u}}^* \end{pmatrix} \begin{pmatrix} \mathbf{E}_{\uparrow} & \mathbf{0} \\ \mathbf{0} & -\mathbf{E}_{\downarrow} \end{pmatrix}$$

$$\sum_{j} \begin{bmatrix} -\tilde{t}_{ij} \tilde{u}_{j}^{n} + \tilde{\Delta}_{ij} \tilde{v}_{j}^{n} \end{bmatrix} = E_{n\uparrow} \tilde{u}_{i}^{n}$$

$$\sum_{j} \begin{bmatrix} -t_{ij} e^{-i(\phi_{i} - \phi_{j})} \tilde{u}_{j}^{n} + \tilde{\Delta}_{ij} \tilde{v}_{j}^{n} \end{bmatrix} = E_{n\uparrow} \tilde{u}_{i}^{n}$$

Multiply $e^{i\phi_i}$ on both sides

$$\sum_{j} \left[-t_{ij} e^{i\phi_j} \tilde{u}_j^n + \tilde{\Delta}_{ij} \tilde{v}_j^n e^{i\phi_i} \right] = E_{n\uparrow} \tilde{u}_i^n e^{i\phi_i}$$

To make the equations covariant, let

$$\begin{split} \widetilde{u}_{j}^{n} &= u_{j}^{n} e^{-i\phi_{j}} \\ \widetilde{v}_{j}^{n} &= v_{j}^{n} e^{-i\phi_{j}} \\ \widetilde{\Delta}_{ij} &= \Delta_{ij} e^{-i(\phi_{i}-\phi_{j})} \\ \sum_{j} \left[-t_{ij} e^{i\phi_{j}} u_{j}^{n} e^{-i\phi_{j}} + \Delta_{ij} e^{-i(\phi_{i}-\phi_{j})} v_{j}^{n} e^{-i\phi_{j}} e^{i\phi_{i}} \right] &= E_{n\uparrow} u_{i}^{n} e^{i\phi_{i}} e^{-i\phi_{i}} \\ \sum_{j} \left[-t_{ij} u_{j}^{n} + \Delta_{ij} v_{j}^{n} \right] &= E_{n\uparrow} u_{i}^{n} \end{split}$$

EXAMPLES:

1. Solve the BdG equations for the d-wave superconductivity in the

四第14頁

presence of a magnetic field,

$$\begin{pmatrix} -\tilde{t} & \tilde{\Delta} \\ \tilde{\Delta}^* & \tilde{t}^* \end{pmatrix} \begin{pmatrix} \tilde{u} & -\tilde{v}^* \\ \tilde{v} & \tilde{u}^* \end{pmatrix} = \begin{pmatrix} \tilde{u} & -\tilde{v}^* \\ \tilde{v} & \tilde{u}^* \end{pmatrix} \begin{pmatrix} E_{\uparrow} & 0 \\ 0 & -E_{\downarrow} \end{pmatrix}$$

We then obtain the pairing using 2N

$$\widetilde{\Delta}_{ij} = \frac{V}{2} \sum_{n=1}^{2N} \widetilde{\mathbf{u}}_i^n \widetilde{\mathbf{v}}_j^{n*} \tanh \frac{\beta E_n}{2} = \Delta_{ij} e^{-i(\phi_i - \phi_j)}$$

Since the d-wave superconductivity is

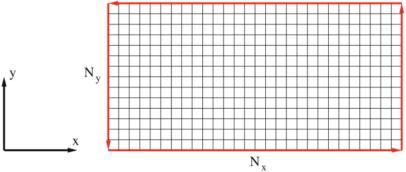
$$\Delta_{i} = \frac{1}{4} \left(\Delta_{i+x} + \Delta_{i-x} - \Delta_{i+y} - \Delta_{i-y} \right)$$

We need calculate each pairing as

$$\Delta_{ij} = \widetilde{\Delta}_{ij} e^{i(\phi_i - \phi_j)} = \widetilde{\Delta}_{ij} e^{-i\phi_{ij}}$$

B. RECTANGULAR VORTEX LATTICE

(1) Consider a rectangular lattice with the linear dimensions N_x and N_y as a unit cell of the vortex lattice.



Since in the presence of a magnetic field, the magnetic effect is included through a Peierls phase factor as

$$\phi_{ij} = \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r}$$

where $\nabla \times \vec{A} = B\hat{z}$. Thus, the flux density enclosed within one plaquette of the unit cell is given by

$$\sum_{\Box} \phi_{ij} = \sum_{\Box} \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \frac{2\pi}{\Phi_0} \sum_{\Box} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r}$$

where \Box implies a closed loop
 $(x, y) \xrightarrow{\odot} (x + 1, y) \xrightarrow{\odot} (x + 1, y + 1) \xrightarrow{\odot} (x, y + 1) \xrightarrow{\odot} (x, y)$
and

四第15頁

$$\sum_{\Box} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \oint_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \int_{S} \nabla \times \vec{A} \cdot d\vec{S} = \int_{S} \vec{B} \cdot d\vec{S} = Ba^2$$

where S is the size of the plaquette and a is the lattice constant. Thus, we obtain

$$\sum_{\Box} \phi_{ij} = \frac{2\pi}{\Phi_0} B a^2$$

Since the single-particle flux enclosed in a unit cell is 2π such as

$$\sum_{\Box} \phi_{ij} = \frac{2\pi}{\Phi_0} B N_x N_y a^2 = 2\pi$$

where \square implies a closed path around the rectangular lattice such as

$$(0,0) \xrightarrow{\textcircled{0}} (N_x a, 0) \xrightarrow{\textcircled{0}} (N_x a, N_y a) \xrightarrow{\textcircled{0}} (0, N_y a) \xrightarrow{\textcircled{0}} (0,0)$$

hould let

we should let

$$B = \frac{\Phi_0}{N_x N_y a^2}$$

(2) Since the rectangular lattice is a unit cell of the vortex lattice, we can introduce a translation operator \hat{T}_{mn} such that

 $\vec{r}' = \hat{T}_{mn}\vec{r} = \vec{r} + \vec{R}$ where $\vec{R} = mN_xa\hat{x} + nN_ya\hat{y}.$

The gauge transformation of the vector potential \vec{A} under the translation operator is $\vec{A}(\hat{T}_{mn}\vec{r}) = \vec{A}(\vec{r}) + \nabla \chi(\vec{r})$ Now, consider a Landau gauge $\vec{A} = (-By, 0, 0)$ such that

$$\nabla \times \vec{A} = \begin{pmatrix} \hat{x} & \hat{y} & \hat{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ -By & 0 & 0 \end{pmatrix} = B\hat{z}$$

Thus, we have

$$\vec{A}(\hat{T}_{m0}\vec{r}) = (-B\hat{T}_{m0}y, 0, 0) = (-By, 0, 0) = \vec{A}(\vec{r}) = \vec{A}(\vec{r}) + \nabla\chi(\vec{R})$$
$$\Rightarrow \nabla\chi(\vec{R}) = 0$$

and

四第16頁

$$\vec{A}(\hat{T}_{0n}\vec{r}) = (-B\hat{T}_{0n}y, 0, 0)$$
$$= (-B(y + nN_ya), 0, 0)$$
$$= (-By, 0, 0) + (-BnN_ya, 0, 0)$$
$$= \vec{A}(\vec{r}) + \nabla\chi(\vec{R})$$
$$\Rightarrow \nabla\chi(\vec{R}) = -BnN_ya\hat{x}$$
$$\Rightarrow \chi(\vec{R}) = -BnN_yax$$

Thus, we obtain

$$\phi_{ij}\left(\vec{R}\right) = \frac{2\pi}{\Phi_0} \int_{r_i}^{r_j + R} \vec{A}(\vec{r}') \cdot d\vec{r}'$$
$$= \phi_{ij} + \frac{2\pi}{\Phi_0} \int_0^R \nabla \chi\left(\vec{R}\right) \cdot d\vec{r}'$$
$$= \phi_{ij} + \frac{2\pi}{\Phi_0} \left(-BnN_y ax\right)\Big|_0^{R_x}$$
$$= \phi_{ij} - \frac{2\pi}{\Phi_0} BnN_y amN_x a$$
$$= \phi_{ij} - 2\pi mn$$

From 1-4-C, we have

$$u_{i}' = e^{i\frac{e}{\hbar c}\chi(R)}u_{i} = e^{i2\pi\chi(R)/\Phi_{0}}u_{i}$$
$$v_{i}' = e^{-i\frac{e}{\hbar c}\chi(R)}v_{i} = e^{-i2\pi\chi(R)/\Phi_{0}}v_{i}$$
$$\Delta_{ij}' = e^{i2\frac{e}{\hbar c}\chi(R)}\Delta_{ij} = e^{i4\pi\chi(R)/\Phi_{0}}\Delta_{ij}$$

where

$$\chi\left(\vec{R}\right) = -BnN_y amN_x a = -mn\Phi_0$$

By considering a closed path around the rectangular lattice,

$$(0,0) \xrightarrow{(0)} (N_x a, 0) \xrightarrow{(0)} (N_x a, N_y a) \xrightarrow{(0)} (0, N_y a) \xrightarrow{(0)} (0,0)$$

the acquired flux of the superconducting pairing is

$$\sum_{\Box} \phi = -\frac{4\pi}{\Phi_0} (-\phi_0) = 4\pi$$

 \Rightarrow The flux enclosed by a unit cell has two superconducting flux quanta. Each vortex carrys the flux quantum hc/2e.

C. PERIODIC BOUNDARY CONDITIONS

四第17頁

- (1) Since a magnetic unit cell contains two vortexes, conventionally, we set the dimension of the lattice as $N_x = 2N_y$. Thus, each vortex is enclosed in a square lattice with size $\frac{N_x}{2}N_y$.
- (2) For the nearest neighbor hopping term, the flux density in each plaquette is

$$\sum_{\Box} \phi_{ij} = \sum_{\Box} \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \phi_{\odot} + \phi_{\odot} + \phi_{\odot} + \phi_{\odot} + \phi_{\odot}$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x,y}^{x+1,y} \vec{A}(\vec{r}) \cdot d\vec{r} = -\frac{2\pi}{\Phi_0} Bya^2$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x+1,y}^{x+1,y+1} \vec{A}(\vec{r}) \cdot d\vec{r} = 0$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x+1,y+1}^{x,y+1} \vec{A}(\vec{r}) \cdot d\vec{r} = \frac{2\pi}{\Phi_0} B(y+1)a^2$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x,y+1}^{x,y} \vec{A}(\vec{r}) \cdot d\vec{r} = 0$$
$$\sum_{\Box} \phi_{ij} = \frac{2\pi}{\Phi_0} Ba^2 = \frac{2\pi}{\Phi_0} \frac{\Phi_0}{N_x N_y a^2} a^2 = \underbrace{\frac{2\pi}{N_x N_y}}_{=\phi_0} = \phi_0$$

The Peierls phase factors are

$$\phi_{ij} = egin{cases} -arphi_0 y, & \mathrm{along} + x \ \mathrm{direction} \ arphi_0 y, & \mathrm{along} - x \ \mathrm{direction} \ 0 \ , & \mathrm{along} + y \ \mathrm{direction} \ 0 \ , & \mathrm{along} - y \ \mathrm{direction} \ \end{pmatrix}$$

at the boundaries

$$\phi_{ij} = \begin{cases} \varphi_0 N_y x , & \text{along} + y \text{ direction, at } y = N_y \\ -\varphi_0 N_y x , & \text{along} - y \text{ direction, at } y = 1 \end{cases}$$

(3) For the next nearest neighbor hopping term, the flux density in each triangle-plaquette is

$$\sum_{\Box} \phi_{ij} = \sum_{\Box} \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \phi_{\odot} + \phi_{\odot} + \phi_{\odot}$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x,y}^{x+1,y} \vec{A}(\vec{r}) \cdot d\vec{r} = -\frac{2\pi}{\Phi_0} Bya^2$$

四第18頁

$$\begin{split} \phi_{\odot} &= \frac{2\pi}{\Phi_0} \int_{x+1,y}^{x+1,y+1} \vec{A}(\vec{r}) \cdot d\vec{r} = 0 \\ \phi_{\odot} &= \frac{2\pi}{\Phi_0} \int_{x+1,y+1}^{x,y} \vec{A}(\vec{r}) \cdot d\vec{r} = \frac{2\pi}{\Phi_0} B \frac{(y+1)^2 - y^2}{2} a^2 = \frac{2\pi}{\Phi_0} B \left(y + \frac{1}{2}\right) a^2 \\ \sum_{\Box} \phi_{ij} &= \frac{2\pi}{\Phi_0} B \frac{a^2}{2} = \frac{2\pi}{\Phi_0} \frac{\Phi_0}{N_x N_y a^2} \frac{a^2}{2} = \frac{1}{2} \underbrace{\frac{2\pi}{N_x N_y}}_{=\varphi_0} = \frac{\varphi_0}{2} \end{split}$$

The Peierls phase factors are

$$\phi_{ij} = \begin{cases} -\varphi_0 \left(y + \frac{1}{2} \right), & \text{along} + x + y \text{ direction} \\ \\ \varphi_0 \left(y + \frac{1}{2} \right), & \text{along} - x + y \text{ direction} \\ \\ -\varphi_0 \left(y - \frac{1}{2} \right), & \text{along} + x - y \text{ direction} \\ \\ \\ \varphi_0 \left(y - \frac{1}{2} \right), & \text{along} - x - y \text{ direction} \end{cases}$$

at the boundaries

$$\phi_{ij} = \begin{cases} \varphi_0 \left(N_y x - \frac{1}{2} \right) &, & \text{along} + x + y \text{ direction, at } y = N_y \\ \varphi_0 \left(N_y x + \frac{1}{2} \right) &, & \text{along} - x + y \text{ direction, at } y = N_y \\ -\varphi_0 \left(N_y (x+1) + \frac{1}{2} \right), & \text{along} + x - y \text{ direction, at } y = 1 \\ -\varphi_0 \left(N_y (x-1) - \frac{1}{2} \right), & \text{along} - x - y \text{ direction, at } y = 1 \end{cases}$$

OS:

For some computer language, the index conventionally starts from 0. Thus, we need to modify the boundary conditions as follows:

$$\phi_{ij} = \begin{cases} \varphi_0 \left(N_y x + \frac{1}{2} \right) &, & \text{along} + x + y \text{ direction, at } y = N_y - 1 \\ \varphi_0 \left(N_y x - \frac{1}{2} \right) &, & \text{along} - x + y \text{ direction, at } y = N_y - 1 \\ -\varphi_0 \left(N_y (x+1) - \frac{1}{2} \right), & \text{along} + x - y \text{ direction, at } y = 0 \\ -\varphi_0 \left(N_y (x-1) + \frac{1}{2} \right), & \text{along} - x - y \text{ direction, at } y = 0 \end{cases}$$

(4) For the 3rd nearest neighbor hopping term, the flux density in each triangle-plaquette is

$$\sum_{\Box} \phi_{ij} = \sum_{\Box} \frac{2\pi}{\Phi_0} \int_{R_i}^{R_j} \vec{A}(\vec{r}) \cdot d\vec{r} = \phi_{\odot} + \phi_{\odot} + \phi_{\odot} + \phi_{\odot} + \phi_{\odot}$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x,y}^{x+2,y} \vec{A}(\vec{r}) \cdot d\vec{r} = -\frac{2\pi}{\Phi_0} B2ya^2$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x+2,y}^{x+2,y+2} \vec{A}(\vec{r}) \cdot d\vec{r} = 0$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x+2,y+2}^{x,y+2} \vec{A}(\vec{r}) \cdot d\vec{r} = \frac{2\pi}{\Phi_0} B2(y+1)a^2$$
$$\phi_{\odot} = \frac{2\pi}{\Phi_0} \int_{x,y+2}^{x,y} \vec{A}(\vec{r}) \cdot d\vec{r} = 0$$
$$\sum_{\Box} \phi_{ij} = \frac{2\pi}{\Phi_0} B2a^2 = \frac{2\pi}{\Phi_0} \frac{2\Phi_0}{N_x N_y a^2} a^2 = 2\underbrace{\frac{2\pi}{N_x N_y}}_{=\phi_0} = 2\phi_0$$

The Peierls phase factors are

$$\phi_{ij} = \begin{cases} -\varphi_0 2y \,, & \text{along} + x \text{ direction} \\ \varphi_0 2y \,, & \text{along} - x \text{ direction} \\ 0 \,, & \text{along} + y \text{ direction} \\ 0 \,, & \text{along} - y \text{ direction} \end{cases}$$

at the boundaries

$$\phi_{ij} = \begin{cases} \varphi_0 N_y x &, & \text{along} + y \text{ direction, at } y = N_y \\ -\varphi_0 N_y x &, & \text{along} - y \text{ direction, at } y = 2 \\ \varphi_0 \Big(N_y x - 1 \Big), & \text{along} + y \text{ direction, at } y = N_y - 1 \\ -\varphi_0 \Big(N_y x - 1 \Big), & \text{along} - y \text{ direction, at } y = 1 \end{cases}$$

四第20頁

4-3 Local Density of States

A. GREEN'S FUNCTIONS ON LATTICE

(1) Matsubara Green's function

$$\begin{split} G_{ij\uparrow}(\tau) &= -\left\langle \widehat{T} \left[\hat{c}_{i\uparrow}(\tau) \hat{c}_{j\uparrow}^{\dagger}(0) \right] \right\rangle = -\Theta(\tau) \left\langle \hat{c}_{i\uparrow}(\tau) \hat{c}_{j\uparrow}^{\dagger}(0) \right\rangle + \Theta(-\tau) \left\langle \hat{c}_{j\uparrow}^{\dagger}(0) \hat{c}_{i\uparrow}(\tau) \right\rangle \\ G_{ij\downarrow}^{*}(\tau) &= -\left\langle \widehat{T} \left[\hat{c}_{i\downarrow}^{\dagger}(\tau) \hat{c}_{j\downarrow}(0) \right] \right\rangle = -\Theta(\tau) \left\langle c_{j\downarrow}(0) c_{i\downarrow}^{\dagger}(\tau) \right\rangle \\ F_{ij}(\tau) &= -\left\langle \widehat{T} \left[\hat{c}_{i\uparrow}(\tau) \hat{c}_{j\downarrow}(0) \right] \right\rangle = -\Theta(\tau) \left\langle c_{i\uparrow}(\tau) c_{j\downarrow}(0) \right\rangle + \Theta(-\tau) \left\langle c_{j\downarrow}(0) c_{i\uparrow}(\tau) \right\rangle \\ F_{ij}^{*}(\tau) &= -\left\langle \widehat{T} \left[\hat{c}_{i\downarrow}^{\dagger}(\tau) \hat{c}_{j\uparrow}^{\dagger}(0) \right] \right\rangle = -\Theta(\tau) \left\langle c_{i\downarrow}^{\dagger}(\tau) c_{j\uparrow}^{\dagger}(0) \right\rangle + \Theta(-\tau) \left\langle c_{j\uparrow}^{\dagger}(0) c_{i\downarrow}^{\dagger}(\tau) \right\rangle \\ The equations of motion of Green's function \\ \frac{\partial}{\partial \tau} G_{ij\uparrow}(\tau) &= -\frac{\partial}{\partial \tau} \Theta(\tau) \left\langle c_{i\uparrow}(\tau) c_{j\uparrow}^{\dagger}(0) \right\rangle + \frac{\partial}{\partial \tau} \Theta(-\tau) \left\langle c_{j\uparrow}^{\dagger}(0) c_{i\uparrow}(\tau) \right\rangle \\ &\quad -\Theta(\tau) \left\langle \frac{\partial}{\partial \tau} c_{i\uparrow}(\tau) c_{j\uparrow}^{\dagger}(0) \right\rangle + \Theta(-\tau) \left\langle c_{j\uparrow}^{\dagger}(0) \frac{\partial}{\partial \tau} c_{i\uparrow}(\tau) \right\rangle \\ \text{Since } \frac{\partial}{\partial \tau} \Theta(\tau) &= \delta(\tau) \text{ and } \frac{\partial}{\partial \tau} \Theta(-\tau) &= -\delta(-\tau) \\ \frac{\partial}{\partial \tau} G_{ij\uparrow}(\tau) &= -\delta(\tau) \left\langle \left\{ c_{i\uparrow}(\tau) c_{j\uparrow}^{\dagger}(0) \right\} \right\rangle - \left\langle \widehat{T} \left[\frac{\partial}{\partial \tau} c_{i\uparrow}(\tau) c_{j\uparrow}^{\dagger}(0) \right] \right\rangle \end{split}$$

Use

$$-\frac{\partial}{\partial \tau}\hat{c}_{i\sigma}^{\dagger}(\tau) = \left[c_{i\sigma}^{\dagger}(\tau), \hat{H}\right] = \sum_{j} t_{ij}^{*}\hat{c}_{j\sigma}^{\dagger} - \sigma\Delta_{ij}^{*}c_{\nu\overline{\sigma}}$$
$$-\frac{\partial}{\partial \tau}c_{i\sigma}(\tau) = \left[c_{i\sigma}(\tau), \hat{H}\right] = \sum_{l} -t_{il}\hat{c}_{l\sigma}(\tau) + \sigma\Delta_{il}\hat{c}_{l\overline{\sigma}}^{\dagger}(\tau)$$

We obtain

$$\begin{aligned} \frac{\partial}{\partial \tau} G_{ij\uparrow}(\tau) &= -\delta(\tau) \delta_{ij} + \sum_{l} \left\langle \widehat{T} \left[-t_{il} c_{l\uparrow}(\tau) c_{j\uparrow}^{\dagger}(0) + \Delta_{il} c_{l\downarrow}^{\dagger}(\tau) c_{j\uparrow}^{\dagger}(0) \right] \right\rangle \\ &= -\delta(\tau) \delta_{ij} + \sum_{l} \left(t_{il} G_{lj\uparrow}(\tau) - \Delta_{il} F_{lj}^{*}(\tau) \right) \end{aligned}$$

四第21頁

$$\begin{split} \frac{\partial}{\partial \tau} G_{ij\downarrow}^*(\tau) &= -\delta(\tau) \left\langle \left\{ c_{i\downarrow}^\dagger(\tau), c_{j\downarrow}(0) \right\} \right\rangle - \left\langle \widehat{T} \left[\frac{\partial}{\partial \tau} c_{i\downarrow}^\dagger(\tau) c_{j\downarrow}(0) \right] \right\rangle \\ &= \delta(\tau) \delta_{ij} + \sum_l \left(-t_{il}^* G_{lj\downarrow}^*(\tau) - \Delta_{il}^* F_{lj}(\tau) \right) \\ \frac{\partial}{\partial \tau} F_{ij}(\tau) &= -\delta(\tau) \left\langle \left\{ c_{i\uparrow}(\tau), c_{j\downarrow}(0) \right\} \right\rangle - \left\langle \widehat{T} \left[\frac{\partial}{\partial \tau} c_{i\uparrow}(\tau) c_{j\downarrow}(0) \right] \right\rangle \\ &= \sum_l \left(t_{il} F_{lj}(\tau) - \Delta_{il} G_{lj\downarrow}^*(\tau) \right) \\ \frac{\partial}{\partial \tau} F_{ij}^*(\tau) &= -\delta(\tau) \left\langle \left\{ c_{i\downarrow}^\dagger(\tau), c_{j\uparrow}^\dagger(0) \right\} \right\rangle - \left\langle \widehat{T} \left[\frac{\partial}{\partial \tau} c_{i\downarrow}^\dagger(\tau) c_{j\uparrow}^\dagger(0) \right] \right\rangle \\ &= \sum_l \left(-\Delta_{il}^* G_{lj\uparrow}(\tau) - t_{il}^* F_{lj}^*(\tau) \right) \end{split}$$

These equations are rearranged

$$-\frac{\partial}{\partial\tau}G_{ij\uparrow}(\tau) - \sum_{l} \left(-t_{il}G_{lj\uparrow}(\tau) + \Delta_{il}F_{lj}^{*}(\tau) \right) = \delta(\tau)\delta_{ij}$$
$$-\frac{\partial}{\partial\tau}F_{ij}(\tau) - \sum_{l} \left(-t_{il}F_{lj}(\tau) + \Delta_{il}G_{lj\downarrow}^{*}(\tau) \right) = 0$$
$$-\frac{\partial}{\partial\tau}F_{ij}^{*}(\tau) - \sum_{l} \left(\Delta_{il}^{*}G_{lj\uparrow}(\tau) + t_{il}^{*}F_{lj}^{*}(\tau) \right) = 0$$
$$-\frac{\partial}{\partial\tau}G_{ij\downarrow}^{*}(\tau) - \sum_{l} \left(t_{il}^{*}G_{lj\downarrow}^{*}(\tau) + \Delta_{il}^{*}F_{lj}(\tau) \right) = \delta(\tau)\delta_{ij}$$

We now write these equations in a matrix form

$$-\frac{\partial}{\partial \tau} \begin{pmatrix} G_{11\uparrow} & \cdots & G_{1N\uparrow} & F_{11} & \cdots & F_{1N} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ G_{N1\uparrow} & \cdots & G_{NN\uparrow} & F_{N1} & \cdots & F_{NN} \\ F_{11}^{*} & \cdots & F_{1N}^{*} & G_{11\downarrow}^{*} & \cdots & G_{1N\downarrow}^{*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ F_{N1}^{*} & \cdots & F_{NN}^{*} & G_{N1\downarrow}^{*} & \cdots & G_{NN\downarrow}^{*} \end{pmatrix} \\ - \begin{pmatrix} -t_{11} & \cdots & -t_{1N} & \Delta_{11} & \cdots & \Delta_{1N} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ -t_{N1} & \cdots & -t_{NN} & \Delta_{N1} & \cdots & \Delta_{NN} \\ \Delta_{11}^{*} & \cdots & \Delta_{11}^{*} & t_{11}^{*} & \cdots & t_{1N}^{*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \Delta_{11}^{*} & \cdots & \Delta_{11}^{*} & t_{N1}^{*} & \cdots & t_{NN}^{*} \end{pmatrix} \begin{pmatrix} G_{11\uparrow} & \cdots & G_{1N\uparrow} & F_{11} & \cdots & F_{1N} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ F_{N1}^{*} & \cdots & F_{N1}^{*} & G_{11\downarrow}^{*} & \cdots & G_{1N\downarrow}^{*} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ F_{N1}^{*} & \cdots & F_{NN}^{*} & G_{N1\downarrow}^{*} & \cdots & G_{NN\downarrow}^{*} \end{pmatrix}$$

四第22頁

$$= \delta(\tau) \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

Let

$$\mathbf{G}_{\sigma} = \begin{pmatrix} G_{11\sigma} & \cdots & G_{1N\sigma} \\ \vdots & \ddots & \vdots \\ G_{N1\sigma} & \cdots & G_{NN\sigma} \end{pmatrix}, \qquad \mathbf{F} = \begin{pmatrix} F_{11} & \cdots & F_{1N} \\ \vdots & \ddots & \vdots \\ F_{N1} & \cdots & F_{NN} \end{pmatrix}$$

The equations can be rewritten as

$$\begin{aligned} &-\frac{\partial}{\partial \tau} \begin{pmatrix} G_{\uparrow} & F \\ F^* & G_{\downarrow}^* \end{pmatrix}(\tau) - \begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \begin{pmatrix} G_{\uparrow} & F \\ F^* & G_{\downarrow}^* \end{pmatrix}(\tau) = \delta(\tau) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \\ &\Rightarrow \begin{bmatrix} -\frac{\partial}{\partial \tau} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} -t & \Delta \\ \Delta^* & t^* \end{pmatrix} \end{bmatrix} \begin{pmatrix} G_{\uparrow} & F \\ F^* & G_{\downarrow}^* \end{pmatrix}(\tau) = \delta(\tau) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

This equation is known as Gor'kov equations.

(2) Fourier transform of the Green's functions

$$\begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(\tau) = \frac{1}{\beta} \sum_{\omega} e^{-i\omega\tau} \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega)$$

$$\delta(\tau) = \frac{1}{\beta} \sum_{\omega} e^{-i\omega\tau}$$
Substituting into Gor'kov equations, we obtain

$$\frac{1}{\beta} \sum_{\omega} e^{-i\omega\tau} \left[i\omega \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} -t & \Delta \\ \Delta^{*} & t^{*} \end{pmatrix} \right] \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega) = \frac{1}{\beta} \sum_{\omega} e^{-i\omega\tau} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\Rightarrow \left[\begin{pmatrix} i\omega & 0 \\ 0 & i\omega \end{pmatrix} - \begin{pmatrix} -t & \Delta \\ \Delta^{*} & t^{*} \end{pmatrix} \right] \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
Insert Bogoliubov unitary transformation matrix

$$\left[\begin{pmatrix} i\omega & 0 \\ 0 & i\omega \end{pmatrix} - \begin{pmatrix} -t & \Delta \\ \Delta^{*} & t^{*} \end{pmatrix} \right] \begin{pmatrix} u & -v^{*} \\ v & u^{*} \end{pmatrix}^{\dagger} \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
The solutions of BdG equations give us

$$\begin{pmatrix} u & -v^{*} \\ v & u^{*} \end{pmatrix} \begin{bmatrix} (i\omega & 0 \\ 0 & i\omega \end{pmatrix} - \begin{pmatrix} E_{\uparrow} & 0 \\ 0 & -E_{\downarrow} \end{pmatrix} \right] \begin{pmatrix} u & -v^{*} \\ v & u^{*} \end{pmatrix}^{\dagger} \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} u & -v^{*} \\ v & u^{*} \end{pmatrix} \begin{pmatrix} i\omega - E_{\uparrow} & 0 \\ 0 & i\omega + E_{\downarrow} \end{pmatrix} \begin{pmatrix} u & -v^{*} \\ v & u^{*} \end{pmatrix}^{\dagger} \begin{pmatrix} G_{\uparrow} & F \\ F^{*} & G_{\downarrow}^{*} \end{pmatrix}(i\omega) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} G_{\uparrow} & F \\ F^* & G_{\downarrow}^* \end{pmatrix} (i\omega) = \begin{bmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} i\omega - E_{\uparrow} & 0 \\ 0 & i\omega + E_{\downarrow} \end{pmatrix} \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix}^{\dagger} \end{bmatrix}^{-}$$

$$= \begin{pmatrix} u & -v^* \\ v & u^* \end{pmatrix} \begin{pmatrix} \frac{1}{i\omega - E_{\uparrow}} & 0 \\ 0 & \frac{1}{i\omega + E_{\downarrow}} \end{pmatrix} \begin{pmatrix} u^* & v^* \\ -v & u \end{pmatrix}$$

$$= \begin{pmatrix} \frac{uu^*}{i\omega - E_{\uparrow}} + \frac{v^*v}{i\omega + E_{\downarrow}} & \frac{uv^*}{i\omega - E_{\uparrow}} - \frac{v^*u}{i\omega + E_{\downarrow}} \\ \frac{vu^*}{i\omega - E_{\uparrow}} - \frac{u^*v}{i\omega + E_{\downarrow}} & \frac{v^*v}{i\omega - E_{\uparrow}} + \frac{uu^*}{i\omega + E_{\downarrow}} \end{pmatrix}$$
Use global indices $\mathbf{u}_i^n, \mathbf{v}_i^n$, and E_n , i.e,

1

$$\mathbf{u}_{i} = \left(\begin{array}{c} \mathbf{u}_{i}^{1} & \cdots & \mathbf{u}_{i}^{N} & \mathbf{u}_{i}^{1*} & \cdots & \mathbf{u}_{i}^{N*} \\ \mathbf{u}_{i} = \left(\begin{array}{c} \mathbf{u}_{i}^{1} & \cdots & \mathbf{u}_{i}^{N} & \mathbf{u}_{i}^{1*} & \cdots & \mathbf{u}_{i}^{2N} \\ \mathbf{v}_{i} = \left(\begin{array}{c} \mathbf{v}_{i}^{1} & \cdots & \mathbf{v}_{i}^{N} & \mathbf{v}_{i}^{N+1} & \cdots & \mathbf{v}_{i}^{2N} \\ \end{array} \right) \\ \mathbf{v}_{i} = \left(\begin{array}{c} \mathbf{v}_{i}^{1} & \cdots & \mathbf{v}_{i}^{N} & \mathbf{v}_{i}^{N+1} & \cdots & \mathbf{v}_{i}^{2N} \\ \vdots & \vdots & \vdots & \vdots \\ E_{N+1} & \vdots & \vdots & \vdots \\ E_{2N} \end{array} \right) = \begin{pmatrix} E_{1\uparrow} \\ \vdots \\ -E_{1\downarrow} \\ \vdots \\ -E_{N\downarrow} \end{pmatrix}$$

Thus, we obtain

$$\begin{pmatrix} G_{ij\uparrow} & F_{ij} \\ F_{ij}^* & G_{ij\downarrow}^* \end{pmatrix} (i\omega) = \sum_n \begin{pmatrix} \frac{\mathbf{u}_i^n \mathbf{u}_j^{n*}}{i\omega - E_n} & \frac{\mathbf{u}_i^n \mathbf{v}_j^{n*}}{i\omega - E_n} \\ \frac{\mathbf{v}_i^n \mathbf{u}_j^{n*}}{i\omega - E_n} & \frac{\mathbf{v}_i^n \mathbf{v}_j^{n*}}{i\omega - E_n} \end{pmatrix}$$

B. LOCAL DENSITY OF STATES

(1) The local density of states at zero temperature 1

$$\rho_{i}(\omega) = -\frac{1}{\pi} \Im (G_{ii\uparrow} + G_{ii\downarrow})$$

$$-\frac{1}{\pi} \Im (G_{ii\uparrow}) = -\frac{1}{\pi} \sum_{n} \Im \left(\frac{\mathbf{u}_{i}^{n} \mathbf{u}_{i}^{n*}}{i\omega - E_{n}} \right) = -\sum_{n} |\mathbf{u}_{i}^{n}|^{2} \,\delta(E_{n} - \omega)$$

$$-\frac{1}{\pi} \Im (G_{ii\downarrow}) = -\frac{1}{\pi} \sum_{n} \Im \left(\frac{\mathbf{v}_{i}^{n*} \mathbf{v}_{i}^{n}}{i\omega + E_{n}} \right) = -\sum_{n} |\mathbf{v}_{i}^{n}|^{2} \,\delta(E_{n} + \omega)$$

四第24頁

$$\rho_{i}(\omega) = -\sum_{n} |\mathbf{u}_{i}^{n}|^{2} \,\delta(E_{n} - \omega) + |\mathbf{v}_{i}^{n}|^{2} \,\delta(E_{n} + \omega)$$

OS:
$$\frac{1}{\pi} \Im\left(\frac{1}{i\omega - E_{n}}\right) = \delta(E_{n} - \omega)$$

(2) The local density of states at finite temperature TUsing the property of δ -function

$$\delta(E_n - \omega) = -f'(E_n - \omega) = -\frac{df(\omega)}{d\omega}$$
$$\rho_i(\omega) = \sum_n |\mathbf{u}_i^n|^2 f'(E_n - \omega) + |\mathbf{v}_i^n|^2 f'(E_n + \omega)$$

Since

$$f(E_n \pm \omega) = \frac{1}{1 + e^{\beta(E_n \pm \omega)}}$$
$$= \frac{1}{1 + \tanh\left(\frac{\beta(E_n \pm \omega)}{2}\right)}$$
$$1 + \frac{1 + \tanh\left(\frac{\beta(E_n \pm \omega)}{2}\right)}{1 - \tanh\left(\frac{\beta(E_n \pm \omega)}{2}\right)}$$
$$= \frac{1}{2}\left(1 - \tanh\left(\frac{\beta(E_n \pm \omega)}{2}\right)\right)$$
derivative of the Fermi function is

The derivative of the Fermi function is

$$-\frac{\partial}{\partial\omega}f(E_n\pm\omega) = \frac{\beta}{4}\left[1-\tanh^2\left(\frac{\beta(E_n\pm\omega)}{2}\right)\right]$$

The local density of states at the temperature ${\cal T}$ is

$$\rho_i(\omega) = \frac{\beta}{4} \sum_n \left\{ \left| \mathbf{u}_i^n \right|^2 \left[1 - \tanh^2 \left(\frac{\beta(E_n - \omega)}{2} \right) \right] + \left| \mathbf{v}_i^n \right|^2 \left[1 - \tanh^2 \left(\frac{\beta(E_n + \omega)}{2} \right) \right] \right\}$$

C. SUPERCELL

(1) Let $M_i L_i$ be the length of a crystal and $L_i = N_i a_i$ be the length of a supercell.

四第25頁

Apply the periodic boundary conditions

$$u_{k}\left(\vec{r} + M\vec{L}\right) = e^{i\vec{k}\cdot M\vec{L}}u_{k}(\vec{r}) = u_{k}(\vec{r})$$

$$\Rightarrow e^{ik_{i}M_{i}N_{i}a_{i}} = 1$$

$$\Rightarrow k_{i} = \frac{2\pi\ell_{i}}{M_{i}N_{i}a_{i}} \text{ where } \ell_{i} = 0, \cdots, M_{i}N_{i} - 1$$
The Bloch wavefunctions for each supercell are

$$u_{k}(\vec{r}) = e^{i\frac{\ell_{i}}{M_{i}N_{i}}\frac{2\pi}{a_{i}}\cdot r_{i}}u(\vec{r})$$
Define the supercell Bloch states wave vector as \vec{k} , according to Bloch's theorem, BdG wavefunctions are

$$u_{k} = e^{i\vec{k}\cdot\vec{r}}u$$

$$v_{k} = e^{i\vec{k}\cdot\vec{r}}v$$

(2) BdG equations are

$$\begin{pmatrix} -\mathbf{t}_{k} & \Delta_{k} \\ \Delta_{k}^{*} & \mathbf{t}_{k}^{*} \end{pmatrix} \begin{pmatrix} \mathbf{u}_{k} & -\mathbf{v}_{k}^{*} \\ \mathbf{v}_{k} & \mathbf{u}_{k}^{*} \end{pmatrix} = \begin{pmatrix} \mathbf{u}_{k} & -\mathbf{v}_{k}^{*} \\ \mathbf{v}_{k} & \mathbf{u}_{k}^{*} \end{pmatrix} \begin{pmatrix} \mathbf{E}_{k\uparrow} & \mathbf{0} \\ \mathbf{0} & -\mathbf{E}_{k\downarrow} \end{pmatrix}$$

$$\sum_{j} \begin{bmatrix} -t_{ij}(k)u_{j}^{n,k} + \Delta_{ij}(k)v_{j}^{n,k} \end{bmatrix} = E_{n,k\uparrow}u_{i}^{n,k}$$

$$\sum_{j} \begin{bmatrix} -t_{ij}(k)e^{i\vec{k}\cdot\vec{r}_{j}}u_{j}^{n} + \Delta_{ij}(k)e^{i\vec{k}\cdot\vec{r}_{j}}v_{j}^{n} \end{bmatrix} = E_{n,k\uparrow}e^{i\vec{k}\cdot\vec{r}_{i}}u_{i}^{n}$$

$$\sum_{j} \begin{bmatrix} -t_{ij}(k)e^{-i\vec{k}\cdot(\vec{r}_{i}-\vec{r}_{j})}u_{j}^{n} + \Delta_{ij}(k)e^{-i\vec{k}\cdot(\vec{r}_{i}-\vec{r}_{j})}v_{j}^{n} \end{bmatrix} = E_{n,k\uparrow}u_{i}^{n}$$

$$\text{Let } t_{ij}(k) = e^{i\vec{k}\cdot(\vec{r}_{i}-\vec{r}_{j})}t_{ij} \text{ and } \Delta_{ij}(k) = e^{i\vec{k}\cdot(\vec{r}_{i}-\vec{r}_{j})}\Delta_{ij}$$

$$\Rightarrow \sum_{j} \begin{bmatrix} -t_{ij}u_{j}^{n} + \Delta_{ij}v_{j}^{n} \end{bmatrix} = E_{n\uparrow}u_{i}^{n}$$

(3) The local density of states in terms of supercell Bloch states $\rho_{i}(\omega) = \frac{\beta}{4} \frac{1}{M_{\chi}M_{y}} \sum_{n,k} \left\{ \left| \mathbf{u}_{i}^{n,k} \right|^{2} \left[1 - \tanh^{2} \left(\frac{\beta(E_{n,k} - \omega)}{2} \right) \right] + \left| \mathbf{v}_{i}^{n,k} \right|^{2} \left[1 - \tanh^{2} \left(\frac{\beta(E_{n,k} + \omega)}{2} \right) \right] \right\}$

四第26頁

4-4 Superfluid Density

OS:

Inspired by Scalapino et. al. [Phy. Rev. Lett. 68, 2830 (1992)] for the Hubbard model on a lattice.

A. CURRENT DENSITY OPERATOR

(1) We expand the Hamiltonian to include the interactions of electrons coupled to an electromagnetic field.

$$\widehat{H} = -\sum_{ij\sigma} \left(\widetilde{t}_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + \text{H.c.} \right) + U \sum_{i} \widehat{n}_{i\uparrow} \widehat{n}_{i\downarrow} - \frac{V}{2} \sum_{i\neq j} \widehat{n}_{i} \widehat{n}_{j} = \widehat{H}_{0} + \widehat{H}'$$

Here, $\hat{H}'(t)$ describes such a minimal coupling

$$\hat{H}'(t) = -ea \sum_{i} A_{x}(\vec{r}_{i}, t) \hat{f}_{x}^{P}(\vec{r}_{i}) - \frac{e^{2}a^{2}}{2} \sum_{i} A_{x}^{2}(\vec{r}_{i}, t) \hat{K}_{x}(\vec{r}_{i})$$

where a is the lattice constant, A_x is the vector potential along the x-axis, and the particle current operator is defined as

$$\hat{J}_{x}^{p}(\vec{r}_{i}) = -i \sum_{\sigma} \left(t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} - t_{ij}^{*} c_{j\sigma}^{\dagger} c_{i\sigma} \right)$$

and the kinetic energy operator is defined as

$$\widehat{K}_{x}(\vec{r}_{i}) = -\sum_{\sigma} \left(t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + t_{ij}^{*} c_{j\sigma}^{\dagger} c_{i\sigma} \right)$$

(2) The charge current density operator along the x-axis is found to be

$$\hat{J}_x(\vec{r}_i) = -\frac{\delta \hat{H}'(t)}{\delta A_x(\vec{r}_i, t)} = ea\hat{J}_x^P(\vec{r}_i) + e^2a^2\hat{K}_x(\vec{r}_i)A_x(\vec{r}_i, t)$$
OS:

An alternative derivation of the charge current density operator The electric polarization operator

$$\begin{split} \hat{P} &= e \sum_{i} \vec{r}_{i} \hat{n}_{i} \\ \text{The x-component} \\ \hat{P}_{x} &= e \sum_{i} x_{i} \hat{n}_{i} \\ \text{The time derivative is} \end{split}$$

四第27頁

$$\begin{split} \hat{J}_{x}(\vec{r}) &= \frac{\partial \hat{P}_{x}}{\partial t} = \frac{i}{\hbar} \left[\hat{H}, \hat{P}_{x} \right] \\ &= ie \sum_{\sigma} \left[x_{i} \tilde{t}_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} - x_{i} \tilde{t}_{ji} c_{j\sigma}^{\dagger} c_{i\sigma} \right] \\ &= ie \sum_{\sigma} \left(x_{i} - x_{j} \right) \tilde{t}_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} \\ &= ie \sum_{\sigma} \left(x_{i} - x_{j} \right) t_{ij} \left(1 + i \phi_{ij} \right) c_{i\sigma}^{\dagger} c_{j\sigma} \end{split}$$

With the phase $\phi_{ij} = eA_{ij} = eA_x(\vec{r}_i, t)(x_i - x_j)$, in the limit that the hopping integral only between the nearest neighbors, i.e., $x_i - x_j = a$.

$$\hat{J}_{x}(\vec{r}) = ie \sum_{\sigma} at_{ij} (1 + ieA_{x}(\vec{r}_{i}, t)a)c_{i\sigma}^{\dagger}c_{j\sigma}$$
$$= eai \sum_{\sigma} t_{ij}c_{i\sigma}^{\dagger}c_{j\sigma} - e^{2}a^{2} \sum_{\sigma} t_{ij}A_{x}(\vec{r}_{i}, t)c_{i\sigma}^{\dagger}c_{j\sigma}$$
$$= ea \hat{J}_{x}^{p}(\vec{r}) + e^{2}a^{2}\hat{K}_{x}(\vec{r})A_{x}(\vec{r}, t)$$

B. KUBO FORMULA

(1) In the linear response theory, the statistical operator in the interaction picture is given by

$$\hat{\rho}(t) = \hat{\rho}(-\infty) - \frac{i}{\hbar} \int_{-\infty}^{t} \left[\hat{H}'(t'), \hat{\rho}(-\infty) \right] dt'$$
The superstation of a physical variable is for

The expectation of a physical variable is found to be

$$\begin{split} \left\langle \hat{O} \right\rangle &= \operatorname{Tr} \left[\hat{\rho}(-\infty) \hat{O} \right] - \frac{i}{\hbar} \int_{-\infty}^{t} \operatorname{Tr} \left\{ \hat{\rho}(-\infty) \left[\hat{O}(t'), \hat{H}'(t') \right] \right\} dt' \\ &= \left\langle \hat{O} \right\rangle_{0} - \frac{i}{\hbar} \int_{-\infty}^{t} \left\langle \left[\hat{O}(t'), \hat{H}'(t') \right] \right\rangle dt' \\ \end{split}$$
where

 $\hat{O}(t') = e^{i\hat{H}_0 t} \hat{O} e^{-i\hat{H}_0 t}$ $\hat{H}'(t') = e^{i\hat{H}_0 t} \hat{H}' e^{-i\hat{H}_0 t}$

(2) The paramagnetic component of the electric current density to first order in A_x is

四第28頁

$$\begin{split} \left\langle \hat{f}_{x}^{p}\left(\vec{r}\right) \right\rangle &= -i \int_{-\infty}^{t} \left\langle \left[\hat{f}_{x}^{p}\left(\vec{r},t\right), \hat{H}'(t) \right] \right\rangle dt \\ \text{where} \\ \hat{f}_{x}^{p}\left(\vec{r},t\right) &= e^{i\hat{H}_{0}t} \hat{f}_{x}^{p}\left(\vec{r}\right) e^{-i\hat{H}_{0}t} \end{split}$$

The diamagnetic part in $\langle \hat{K}_x \rangle_0$ only to zeroth order; $\langle \cdots \rangle_0$ represents a thermodynamic average with respect to \hat{H}_0 .

C. SUPERFLUID DENSITY

(1) Diamagnetic response to an external magnetic field $\begin{aligned} &\left\langle \widehat{K}_{ij}^{x} \right\rangle = \left\langle -t_{ij}c_{i\uparrow}^{\dagger}c_{j\uparrow} - t_{ij}c_{i\downarrow}^{\dagger}c_{j\downarrow} + \text{H.c.} \right\rangle \\ &= \sum_{n} \left\langle -t_{ij} \left(u_{i}^{n*}\gamma_{n\uparrow}^{\dagger} - v_{i}^{n}\gamma_{n\downarrow} \right) \left(u_{j}^{n}\gamma_{n\uparrow} - v_{j}^{n*}\gamma_{n\downarrow}^{\dagger} \right) \right. \\ &- t_{ij} \left(u_{i}^{n*}\gamma_{n\downarrow}^{\dagger} + v_{i}^{n}\gamma_{n\uparrow} \right) \left(u_{j}^{n}\gamma_{n\downarrow} + v_{j}^{n*}\gamma_{n\uparrow}^{\dagger} \right) + \text{H.c.} \right) \\ &= -t_{ij} \sum_{n} \left[u_{i}^{n*}u_{j}^{n} \left\langle \gamma_{n\uparrow}^{\dagger}\gamma_{n\uparrow} \right\rangle + v_{i}^{n}v_{j}^{n*} \left\langle \gamma_{n\downarrow}\gamma_{n\downarrow}^{\dagger} \right\rangle \\ &+ u_{i}^{n*}u_{j}^{n} \left\langle \gamma_{n\downarrow}^{\dagger}\gamma_{n\downarrow} \right\rangle + v_{i}^{n}v_{j}^{n*} \left\langle \gamma_{n\uparrow}\gamma_{n\uparrow}^{\dagger} \right\rangle + \text{H.c.} \right] \end{aligned}$

Use global indices \mathbf{u}_i^n , \mathbf{v}_i^n , and E_n , i.e,

$$\mathbf{u}_{i} = \left(\begin{array}{c} u_{i}^{1} & \cdots & u_{i}^{N} & -v_{i}^{1*} & \cdots & -v_{i}^{N*} \\ \mathbf{u}_{i} = \left(\begin{array}{c} u_{i}^{1} & \cdots & u_{i}^{N} & u_{i}^{N+1} & \cdots & u_{i}^{2N} \\ \end{array} \right)$$
$$\mathbf{v}_{i} = \left(\begin{array}{c} v_{i}^{1} & \cdots & v_{i}^{N} & v_{i}^{N+1} & \cdots & v_{i}^{N*} \\ \vdots \\ E_{N} \\ E_{N+1} \\ \vdots \\ E_{2N} \end{array} \right) = \left(\begin{array}{c} E_{1} \\ \vdots \\ E_{N\uparrow} \\ -E_{1\downarrow} \\ \vdots \\ -E_{N\downarrow} \end{array} \right)$$

Thus, we obtain

$$\left\langle \widehat{K}_{ij}^{x} \right\rangle = -t_{ij} \sum_{n} \left[\mathbf{u}_{i}^{n*} \mathbf{u}_{j}^{n} f(E_{n}) + \mathbf{v}_{i}^{n} \mathbf{v}_{j}^{n*} \left[1 - f(E_{n}) \right] + \text{H.c.} \right]$$

$$\begin{split} \langle \widehat{K}_{x}(i,j) \rangle &= -\sum_{\sigma} \left\langle t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + \mathrm{H.\,c.} \right\rangle \\ &= - \left\langle t_{ij} c_{i\uparrow}^{\dagger} c_{j\uparrow} + t_{ij} c_{i\downarrow}^{\dagger} c_{j\downarrow} + t_{ji}^{*} c_{j\uparrow}^{\dagger} c_{i\uparrow} + t_{ji}^{*} c_{j\downarrow}^{\dagger} c_{i\downarrow} \right\rangle \\ &= -\sum_{n} \left[t_{ij} u_{i}^{n*} u_{j}^{n} \left\langle \gamma_{n\uparrow}^{\dagger} \gamma_{n\uparrow} \right\rangle + t_{ij} v_{i}^{n} v_{j}^{n*} \left\langle \gamma_{n\downarrow} \gamma_{n\downarrow}^{\dagger} \right\rangle + t_{ij} u_{i}^{n*} u_{j}^{n} \left\langle \gamma_{n\downarrow}^{\dagger} \gamma_{n\downarrow} \right\rangle \\ &+ t_{ij} v_{i}^{n} v_{j}^{n*} \left\langle \gamma_{n\uparrow} \gamma_{n\uparrow}^{\dagger} \right\rangle + t_{ji}^{*} u_{j}^{n*} u_{i}^{n} \left\langle \gamma_{n\uparrow}^{\dagger} \gamma_{n\uparrow} \right\rangle + t_{ji}^{*} v_{j}^{n} v_{i}^{n*} \left\langle \gamma_{n\downarrow} \gamma_{n\downarrow}^{\dagger} \right\rangle \\ &+ t_{ji}^{*} u_{i}^{n*} u_{i}^{n} \left\langle \gamma_{n\downarrow}^{\dagger} \gamma_{n\downarrow} \right\rangle + t_{ji}^{*} v_{j}^{n} v_{i}^{n*} \left\langle \gamma_{n\uparrow} \gamma_{n\uparrow}^{\dagger} \right\rangle \right] \\ &= -2 \sum_{n} \mathrm{Im} \, t_{ij} \left[\mathbf{u}_{j}^{n} \mathbf{u}_{i}^{n*} f(E_{n}) + \mathbf{v}_{i}^{n} \mathbf{v}_{j}^{n*} (1 - f(E_{n})) \right] \\ \mathrm{where} \, t_{ij} = t_{ji}^{*} \end{split}$$

(2) Paramagnetic response given by the transverse current-current correlation function

$$\begin{split} \Lambda_{xx}(r,i\Omega) &= \int_{0}^{\beta} d\tau \, e^{-i\Omega\tau} \big\langle T_{\tau} \hat{J}_{x}^{P}(r,\tau) \hat{J}_{x}^{P}(r',0) \big\rangle \\ \text{Paramagnetic current density} \\ \hat{J}_{x}^{P}(r) &= -i \sum_{\sigma} \left(t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} - t_{ij}^{*} c_{j\sigma}^{\dagger} c_{i\sigma} \right) \\ \big\langle T_{\tau} \hat{J}_{x}^{P}(r,\tau) \hat{J}_{x}^{P}(r',0) \big\rangle \\ &= -\sum_{\sigma\sigma'} \big\langle T_{\tau} \left(t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} - t_{ji}^{*} c_{j\sigma}^{\dagger} c_{i\sigma} \right) \left(t_{i'j'} c_{i'\sigma'}^{\dagger} c_{j'\sigma'} - t_{j'i'}^{*} c_{j'\sigma'}^{\dagger} c_{i'\sigma'} \right) \big\rangle \\ &= -\sum_{\sigma\sigma'} t_{ij} t_{i'j'} \left(\big\langle T_{\tau} c_{i\sigma}^{\dagger} c_{j\sigma} c_{i'\sigma'} c_{j'\sigma'} \big\rangle + \big\langle T_{\tau} c_{j\sigma}^{\dagger} c_{i\sigma} c_{j'\sigma'} c_{i'\sigma'} \big\rangle \right) \\ &- \big\langle T_{\tau} c_{i\sigma}^{\dagger} c_{j\sigma} c_{j'\sigma'} c_{i'\sigma'} \big\rangle - \big\langle T_{\tau} c_{j\sigma}^{\dagger} c_{i\sigma} c_{j'\sigma'} \big\rangle \big) \end{split}$$
According to Wick's theorem

$$\begin{pmatrix} T_{\tau}c_{i\uparrow}^{\dagger}c_{j\uparrow}c_{i'\uparrow}^{\dagger}c_{j'\uparrow} \end{pmatrix} = \begin{pmatrix} T_{\tau}c_{j\uparrow}c_{i\uparrow}^{\dagger} \rangle \langle T_{\tau}c_{j'\uparrow}c_{i'\uparrow}^{\dagger} \rangle - \langle T_{\tau}c_{j'\uparrow}c_{i\uparrow}^{\dagger} \rangle \langle T_{\tau}c_{j\uparrow}c_{i'\uparrow}^{\dagger} \rangle \\ = G_{ji}^{\dagger}G_{j'i'}^{\dagger} - G_{j'i}^{\dagger}G_{ji'}^{\dagger} \\ \langle T_{\tau}c_{i\downarrow}^{\dagger}c_{j\downarrow}c_{i'\downarrow}^{\dagger}c_{j'\downarrow} \rangle = \langle T_{\tau}c_{i\downarrow}^{\dagger}c_{j\downarrow} \rangle \langle T_{\tau}c_{i'\downarrow}^{\dagger}c_{j'\downarrow} \rangle - \langle T_{\tau}c_{i\downarrow}^{\dagger}c_{j'\downarrow} \rangle \langle T_{\tau}c_{i'\downarrow}^{\dagger}c_{j\downarrow} \rangle \\ = G_{ij}^{\downarrow}G_{i'j'}^{\downarrow} - G_{ij'}^{\downarrow}G_{i'j}^{\downarrow} \rangle = \langle T_{\tau}c_{i\downarrow}^{\dagger}c_{j\downarrow} \rangle \langle T_{\tau}c_{i'\downarrow}^{\dagger}c_{j'\downarrow} \rangle - \langle T_{\tau}c_{i\downarrow}^{\dagger}c_{j'\downarrow} \rangle \langle T_{\tau}c_{i'\downarrow}^{\dagger}c_{j\downarrow} \rangle$$

四第30頁

$$\begin{split} \left\langle T_{\tau} c_{i\uparrow}^{\dagger} c_{j\uparrow} c_{i'\downarrow}^{\dagger} c_{j'\downarrow} \right\rangle &= - \left\langle T_{\tau} c_{j\uparrow} c_{i\uparrow}^{\dagger} \right\rangle \left\langle T_{\tau} c_{i'\downarrow}^{\dagger} c_{j'\downarrow} \right\rangle + \left\langle T_{\tau} c_{i'\downarrow}^{\dagger} c_{i\uparrow}^{\dagger} \right\rangle \left\langle T_{\tau} c_{j\uparrow} c_{j'\downarrow} \right\rangle \\ &= -G_{ji}^{\dagger} G_{i'j'}^{\dagger} + F_{i'i}^{*} F_{jj'} \\ \left\langle T_{\tau} c_{i\downarrow}^{\dagger} c_{j\downarrow} c_{i'\uparrow}^{\dagger} c_{j'\uparrow} \right\rangle = - \left\langle T_{\tau} c_{i\downarrow}^{\dagger} c_{j\downarrow} \right\rangle \left\langle T_{\tau} c_{j'\uparrow} c_{i'\uparrow}^{\dagger} \right\rangle + \left\langle T_{\tau} c_{i\downarrow}^{\dagger} c_{i\uparrow\uparrow}^{\dagger} \right\rangle \left\langle T_{\tau} c_{j'\uparrow} c_{j\downarrow} \right\rangle \\ &= -G_{ij}^{\dagger} G_{j'i'}^{\dagger} + F_{ii'}^{*} F_{j'j} \\ \sum_{\sigma\sigma'} \left\langle T_{\tau} c_{i\sigma}^{\dagger} c_{j\sigma} c_{i'\sigma'}^{\dagger} c_{j'\sigma'} \right\rangle = G_{ji}^{\dagger} G_{j'i'}^{\dagger} - G_{j'i}^{\dagger} G_{ji'}^{\dagger} + G_{ij}^{\dagger} G_{i'j'}^{\dagger} - G_{ij'}^{\dagger} G_{i'j}^{\dagger} \\ &- G_{ji}^{\dagger} G_{i'j'}^{\dagger} + F_{i'i}^{*} F_{jj'} - G_{ij}^{\dagger} G_{j'i'}^{\dagger} + F_{ii'}^{*} F_{j'j} \\ \sum_{\sigma\sigma'} \left\langle T_{\tau} c_{j\sigma}^{\dagger} c_{i\sigma} c_{j'\sigma'}^{\dagger} c_{i'\sigma'} \right\rangle = G_{ji}^{\dagger} G_{i'j'}^{\dagger} - G_{i'j}^{\dagger} G_{jj'}^{\dagger} + G_{ji}^{\dagger} G_{j'i'}^{\dagger} - G_{ji'}^{\dagger} G_{j'i}^{\dagger} \\ &- G_{ij}^{\dagger} G_{j'i'}^{\dagger} + F_{j'j}^{*} F_{ii'} - G_{ji}^{\dagger} G_{j'i'}^{\dagger} + F_{jj'}^{*} F_{i'i} \\ \sum_{\sigma\sigma'} \left\langle T_{\tau} c_{j\sigma}^{\dagger} c_{j\sigma} c_{j'\sigma'}^{\dagger} c_{i'\sigma'} \right\rangle = G_{ji}^{\dagger} G_{i'j'}^{\dagger} - G_{i'i}^{\dagger} G_{jj'}^{\dagger} + G_{ij}^{\dagger} G_{j'i'}^{\dagger} - G_{ii'}^{\dagger} G_{j'j}^{\dagger} \\ &- G_{ji}^{\dagger} G_{j'i'}^{\dagger} + F_{j'i}^{*} F_{ji'} - G_{ij}^{\dagger} G_{i'j'}^{\dagger} - G_{ii'}^{\dagger} G_{j'j'}^{\dagger} \\ \\ \sum_{\sigma\sigma'} \left\langle T_{\tau} c_{j\sigma}^{\dagger} c_{i\sigma} c_{j'\sigma'}^{\dagger} c_{i'\sigma'} \right\rangle = G_{ji}^{\dagger} G_{j'i'}^{\dagger} - G_{j'j}^{\dagger} G_{ii'}^{\dagger} + G_{ji}^{\dagger} G_{i'j'}^{\dagger} - G_{ij'}^{\dagger} G_{i'j'}^{\dagger} \\ \\ \sum_{\sigma\sigma'} \left\langle T_{\tau} c_{j\sigma}^{\dagger} c_{i\sigma} c_{j'\sigma'}^{\dagger} c_{j'\sigma'} \right\rangle = G_{ij}^{\dagger} G_{j'i'}^{\dagger} - G_{j'j}^{\dagger} G_{ii'}^{\dagger} + G_{ji}^{\dagger} G_{i'j'}^{\dagger} - G_{jj'}^{\dagger} G_{i'j'}^{\dagger} \\ \\ - G_{ij}^{\dagger} G_{j'i'}^{\dagger} + F_{i'j}^{*} F_{jj'} - G_{ji}^{\dagger} G_{i'j'}^{\dagger} + F_{jj'}^{*} F_{j'i} \\ \\ - G_{ij}^{\dagger} G_{i'j'}^{\dagger} + F_{i'j}^{*} F_{jj'} - G_{ji}^{\dagger} G_{j'i'}^{\dagger} + F_{jj''}^{*} F_{j'i'} \\ \\ + G_{ij}^{\dagger} G_{ij'j'}^{\dagger} + F_{i'j}^{*} F_{jj'} - G_{jj}^{\dagger} G_{j'j'}^{\dagger} + F_{jj''}^{*} F_{j'i'} \\ \\ + G_{ij}^{\dagger} G_{ij'j'}^{\dagger} + F_{i'j'}^{\dagger} F_{jj''} - G_{jj}^{\dagger} G_{j'j''}^{\dagger} + F_{jj''}^{*} F_{jj''} \\ \\ + G_{ij}^{\dagger} G_{ij'j'$$

Since $G_{ji}^{\sigma}G_{j'i'}^{\sigma}$ are disconnected part which will form a bubble, we can ignore the contribution from the bubble. $G_{ii}^{\sigma} = G_{ii}^{\sigma}$

$$\langle T_{\tau} \hat{f}_{x}^{P}(r,\tau) \hat{f}_{x}^{P}(r',0) \rangle = -t_{ij} t_{i'j'} \left(-G_{j'i}^{\uparrow} G_{ji'}^{\uparrow} - G_{ij'}^{\downarrow} G_{i'j}^{\downarrow} + F_{i'i}^{*} F_{jj'} + F_{ii'}^{*} F_{j'j} \right)$$

$$= -t_{ij} t_{i'j'} \left(-G_{i'j}^{\uparrow} G_{ij'}^{\uparrow} - G_{ji'}^{\downarrow} G_{j'i}^{\downarrow} + F_{j'j}^{*} F_{ii'} + F_{jj'}^{*} F_{i'i} \right)$$

$$+ t_{ij} t_{i'j'} \left(-G_{i'i}^{\uparrow} G_{jj'}^{\uparrow} - G_{ii'}^{\downarrow} G_{j'j}^{\downarrow} + F_{j'i}^{*} F_{ji'} + F_{ij'}^{*} F_{i'j} \right)$$

$$+ t_{ij} t_{i'j'} \left(-G_{j'j}^{\uparrow} G_{ii'}^{\uparrow} - G_{jj'}^{\downarrow} G_{i'i}^{\downarrow} + F_{i'j}^{*} F_{ij'} + F_{ji'}^{*} F_{ji'} \right)$$

Since

1. $G_{i'i}^{\uparrow}$ does not contribute to the current

2. $F_{ii'} = 0$ in *d*-wave superconductivity

$$\begin{split} \left\langle T_{\tau} \hat{J}_{x}^{P}(r,\tau) \hat{J}_{x}^{P}(r',0) \right\rangle &= -t_{ij} t_{i'j'} \left(-G_{j'i}^{\uparrow} G_{ji'}^{\uparrow} - G_{ij'}^{\downarrow} G_{i'j}^{\downarrow} - G_{i'j}^{\uparrow} G_{ij'}^{\uparrow} - G_{ji'}^{\downarrow} G_{j'i}^{\downarrow} \right. \\ &+ F_{j'i}^{*} F_{ji'} + F_{ij'}^{*} F_{i'j} + F_{i'j}^{*} F_{ij'} + F_{ji'}^{*} F_{j'i} \right) \\ &= -2 t_{ij} t_{i'j'} \left(-G_{i'j}^{\uparrow} G_{ij'}^{\uparrow} - G_{ij'}^{\downarrow} G_{i'j}^{\downarrow} + F_{ij'}^{*} F_{i'j} + F_{i'j}^{*} F_{ij'} \right) \end{split}$$

四第31頁

$$\begin{split} \Lambda_{xx}(r,i\Omega) &= \int_{0}^{\beta} d\tau \, e^{-i\Omega\tau} \langle T_{\tau} \hat{f}_{x}^{p}(r,\tau) \hat{f}_{x}^{p}(r',0) \rangle \\ &= \frac{1}{\beta} \sum_{\omega} \sum_{nn'} \langle T_{\tau} \hat{f}_{x}^{p}(r,\omega) \hat{f}_{x}^{p}(r',\Omega+\omega) \rangle \\ \frac{1}{\beta} \sum_{\omega} \sum_{nn'} G_{i'j}^{\uparrow} G_{ij'}^{\uparrow} &= \frac{1}{\beta} \sum_{\omega} \sum_{n=1} \sum_{n'=1}^{n} \frac{\mathbf{u}_{i'}^{n} \mathbf{u}_{j}^{n*}}{i\omega - E_{n}} \frac{\mathbf{u}_{i}^{n'} \mathbf{u}_{j'}^{n'*}}{i(\Omega+\omega) - E_{n'}} \\ &= \sum_{n=1}^{n} \sum_{n'=1}^{n} \mathbf{u}_{i'}^{n} \mathbf{u}_{j}^{n*} \mathbf{u}_{i'}^{n'} \frac{f(E_{n}) - f(i\Omega + E_{n'})}{i\Omega + E_{n} - E_{n'}} \end{split}$$

The Meissner effect is the current response to a static $(\Omega = 0)$ and transverse gauge potential

Let

$$\begin{split} \mathbf{\Gamma}_{ij}^{nn'} &= t_{ij} \left(\mathbf{u}_{j}^{n*} \mathbf{u}_{i}^{n'} - \mathbf{v}_{i}^{n} \mathbf{v}_{j}^{n'*} \right) \\ \Lambda_{xx}(i,j,\Omega=0) &= -2t_{ij} t_{i'j'} \sum_{n=1}^{n} \sum_{n'=1}^{nn'} \mathbf{\Gamma}_{ij}^{nn'} \mathbf{\Gamma}_{i'j'}^{nn'} \frac{f(E_{n}) - f(E_{n'})}{E_{n} - E_{n'}} \\ \rho_{s}(i,j) &= \langle -K_{x}(i,j) \rangle - \Lambda_{xx}(i,j,\Omega=0) \\ &= -\sum_{n=1}^{n} \sum_{n'=1}^{nn'} \mathbf{\Gamma}_{ij'}^{nn'} \frac{f(E_{n}) - f(E_{n'})}{E_{n} - E_{n'}} \\ &- \sum_{n} t_{ij} \left[\mathbf{u}_{j}^{n} \mathbf{u}_{i}^{n*} f(E_{n}) + \mathbf{v}_{i}^{n} \mathbf{v}_{j}^{n*} (1 - f(E_{n})) \right] \end{split}$$

(3) The local or site-specific superfluid density is then given by Let j=i

$$\rho_{s}(i) = \langle -\hat{K}_{x}(i) \rangle - \Lambda_{xx}(i, \Omega = 0)$$

= $-\sum_{n=1}^{n} \sum_{n'=1}^{n} \Gamma_{i}^{nn'} \Gamma_{i+x}^{nn'} \frac{f(E_{n}) - f(E_{n'})}{E_{n} - E_{n'}}$
 $-\sum_{n} t_{ii+x} \left[\mathbf{u}_{i+x}^{n} \mathbf{u}_{i}^{n*} f(E_{n}) + \mathbf{v}_{i}^{n} \mathbf{v}_{i+x}^{n*} (1 - f(E_{n})) \right]$
 $\Gamma_{i}^{nn'} = t_{ii+x} \left(\mathbf{u}_{i+x}^{n*} \mathbf{u}_{i}^{n'} - \mathbf{v}_{i}^{n} \mathbf{v}_{i+x}^{n'*} \right)$

(4) The superfluid density is evaluated as $\frac{\rho_s(T)}{4} = \langle -\hat{K}_x \rangle - \Lambda_{xx} (q_x = 0, q_y = 0, \Omega = 0)$ where $\langle -\hat{K}_x \rangle$ is average kinetic energy along \hat{x} direction, and $\Lambda_{xx}(q,\Omega)$ is a diagonal element of the current-current correlation.

$$\langle \hat{K}_{x} \rangle = \frac{1}{N} \sum_{i} \sum_{\sigma} \left\langle \left[t_{i,i+x} c_{i\sigma}^{\dagger} c_{i+x\sigma} + \text{H. c.} \right] \right\rangle$$

$$\Lambda_{xx}(q, i\Omega_{n}) = \frac{1}{N} \int_{0}^{1/T} d\tau \, e^{-i\Omega_{n}\tau} \langle T_{\tau} f_{x}^{P}(q, \tau) f_{x}^{P}(-q, 0) \rangle$$

$$The advance of the integral of the int$$

The retarded current-current correlation function is obtained by analytically continuing $i\Omega_n\to\Omega+i\delta$

$$\Lambda_{xx}(q,\Omega) = \frac{-i}{N} \int_{-\infty}^{t} dt' \, e^{-i\Omega(t-t')} \langle T_{\tau} \hat{J}_{x}^{P}(q,t) \hat{J}_{x}^{P}(-q,t') \rangle$$

4-5 Spin Relaxation Time

A. SPIN-SPIN CORRELATION

(1) Spin-spin correlation

$$\chi_{ij}^{+-}(\tau) = \left\langle \widehat{T} \left[\hat{S}_i^+(\tau) \hat{S}_j^-(0) \right] \right\rangle$$

Let

$$\begin{split} \hat{S}_{i}^{+} &= \hat{c}_{i\uparrow}^{\dagger} \hat{c}_{i\downarrow} \cdots \text{Spin raise operator} \\ \hat{S}_{i}^{-} &= \hat{c}_{i\downarrow}^{\dagger} \hat{c}_{i\uparrow} \cdots \text{Spin lower operator} \\ \chi_{ij}^{+-}(\tau) &= \left\langle \widehat{T} \left[c_{i\uparrow}^{\dagger}(\tau) c_{i\downarrow}(\tau) c_{j\downarrow}^{\dagger}(0) c_{j\uparrow}(0) \right] \right\rangle \end{split}$$

Use Wick's theorem, the product of four operators can be factorized into sums of products of pairs,

$$\chi_{ij}^{+-}(\tau) = \left\langle \widehat{T} \left[c_{j\uparrow}(0) c_{i\uparrow}^{\dagger}(\tau) \right] \right\rangle \left\langle \widehat{T} \left[c_{j\downarrow}^{\dagger}(0) c_{i\downarrow}(\tau) \right] \right\rangle \\ - \left\langle \widehat{T} \left[c_{j\uparrow}(0) c_{i\downarrow}(\tau) \right] \right\rangle \left\langle \widehat{T} \left[c_{j\downarrow}^{\dagger}(0) c_{i\uparrow}^{\dagger}(\tau) \right] \right\rangle$$

Assume

$$G_{ji\uparrow}(-\tau) = G_{ji\uparrow}(0,\tau) = \left\langle \widehat{T} \left[c_{j\uparrow}(0) c_{i\uparrow}^{\dagger}(\tau) \right] \right\rangle$$
$$G_{ji\downarrow}(\tau) = G_{ji\downarrow}(\tau,0) = \left\langle \widehat{T} \left[c_{j\downarrow}^{\dagger}(0) c_{i\downarrow}(\tau) \right] \right\rangle$$
$$F_{ji}(-\tau) = F_{ji}(0,\tau) = \left\langle \widehat{T} \left[c_{j\uparrow}(0) c_{i\downarrow}(\tau) \right] \right\rangle$$
$$F_{ji}^{*}(\tau) = F_{ji}^{*}(\tau,0) = \left\langle \widehat{T} \left[c_{j\downarrow}^{\dagger}(0) c_{i\uparrow}^{\dagger}(\tau) \right] \right\rangle$$
$$\chi_{ij}^{+-}(\tau) = G_{ji\uparrow}(-\tau) G_{ji\downarrow}(\tau) - F_{ji}(-\tau) F_{ji}^{*}(\tau)$$

(2) The Fourier transformation of χ

$$\chi_{ij}^{+-}(i\Omega_l) = \int_0^\beta e^{i\Omega_l \tau} \chi_{ij}^{+-}(\tau) d\tau$$
$$= \int_0^\beta e^{i\Omega_l \tau} \frac{1}{\beta^2} \sum_{\omega_l \omega_l'} e^{i\omega_l \tau} e^{-i\omega_l' \tau}$$
$$\times \left[G_{ji\uparrow}(i\omega_n) G_{ji\downarrow}(i\omega_n') - F_{ji}(i\omega_n) F_{ji}^*(i\omega_n') \right] d\tau$$

Since

$$\int_0^\beta e^{i(\Omega_n + \omega_n - \omega'_n)\tau} d\tau = \beta \delta(\Omega_n + \omega_n - \omega'_n)$$

四第34頁

$$\chi_{ij}^{+-}(i\Omega_{n}) = \frac{1}{\beta^{2}} \sum_{\omega_{n}\omega_{n}'} \beta \delta(\Omega_{n} + \omega_{n} - \omega_{n}')$$

$$\times \left[G_{ji\uparrow}(i\omega_{n})G_{ji\downarrow}(i\omega_{n}') - F_{ji}(i\omega_{n})F_{ji}^{*}(i\omega_{n}') \right]$$

$$= \frac{1}{\beta} \sum_{\omega_{n}'} \left[G_{ji\uparrow}(i\omega_{n})G_{ji\downarrow}(i\Omega_{n} + i\omega_{n}) - F_{ji}(i\omega_{n})F_{ji}^{*}(i\Omega_{n} + i\omega_{n}) \right]$$

$$= \frac{1}{\beta} \sum_{\omega_{n},n,m} \left[\frac{\mathbf{u}_{j}^{n}\mathbf{u}_{i}^{n*}}{i\omega_{n} - E_{n}} \cdot \frac{\mathbf{v}_{j}^{m}\mathbf{v}_{i}^{m*}}{i\Omega_{n} + i\omega_{n} - E_{m}} - \frac{\mathbf{u}_{j}^{n}\mathbf{v}_{i}^{n*}}{i\omega_{n} - E_{n}} \cdot \frac{\mathbf{v}_{j}^{m}\mathbf{u}_{i}^{m*}}{i\Omega_{n} + i\omega_{n} - E_{m}} \right]$$
where we have used global indices $\mathbf{u}^{n}, \mathbf{u}^{n}$ and E_{n} i.e.

where we have used global indices \mathbf{u}_i^n , \mathbf{v}_i^n , and E_n , i.e,

$$\mathbf{u}_{i} = \left(\begin{array}{c} u_{i}^{1} & \cdots & u_{i}^{N} & -v_{i}^{1*} \\ \mathbf{u}_{i}^{1} & \cdots & \mathbf{u}_{i}^{N} & \mathbf{u}_{i}^{N+1} & \cdots & \mathbf{u}_{i}^{2N} \\ \mathbf{v}_{i} = \left(\begin{array}{c} v_{i}^{1} & \cdots & v_{i}^{N} & \mathbf{v}_{i}^{1*} \\ \vdots \\ E_{N} \\ E_{N+1} \\ \vdots \\ E_{2N} \end{array} \right) = \begin{pmatrix} E_{1\uparrow} \\ \vdots \\ E_{N\uparrow} \\ -E_{1\downarrow} \\ \vdots \\ -E_{N\downarrow} \end{pmatrix}$$

Since

$$\frac{1}{\beta} \sum_{\omega_n'} \left[\frac{1}{i\omega_n - E_n} \cdot \frac{1}{i\Omega_n + i\omega_n - E_m} \right]$$
$$= \frac{1}{\beta} \sum_{\omega_n'} \left[\frac{1}{i\omega_n - E_n} - \frac{1}{i\Omega_n + i\omega_n - E_m} \right] \frac{1}{i\Omega_n + E_n - E_m}$$
$$= \frac{f(E_n) - f(E_m - i\Omega_n)}{i\Omega_n + E_n - E_m}$$
$$\chi_{ij}^{+-}(i\Omega_n) = \sum_{n,m} \left(\mathbf{u}_j^n \mathbf{u}_i^{n*} \mathbf{v}_j^m \mathbf{v}_i^{m*} - \mathbf{u}_j^n \mathbf{v}_i^{n*} \mathbf{v}_j^m \mathbf{u}_i^{m*} \right) \frac{f(E_n) - f(E_m - i\Omega_n)}{i\Omega_n + E_n - E_m}$$

(3) Analytic continuation $i\Omega \rightarrow \Omega + i\eta$

$$\chi_{ij}^{+-}(\Omega+i\eta) = \sum_{n,m} \left(\mathbf{u}_{j}^{n} \mathbf{u}_{i}^{n*} \mathbf{v}_{j}^{m} \mathbf{v}_{i}^{m*} - \mathbf{u}_{j}^{n} \mathbf{v}_{i}^{n*} \mathbf{v}_{j}^{m} \mathbf{u}_{i}^{m*} \right)$$
$$\times \frac{f(E_{n}) - f(E_{m} - \Omega_{n} - i\eta)}{\Omega_{n} + i\eta + E_{n} - E_{m}}$$

B. SPIN RELAXATION TIME (T_1)

(1) The spin-lattice relaxation time is 1 + 1 = 1

$$\frac{1}{T_1 T} \bigg|_{\Omega_n \to 0} = \lim_{\Omega_n \to 0} \frac{1}{\Omega_n} \Im \left(\chi_{ii}^{+-} (i\Omega_n \to \Omega_n + i\eta) \right)$$

where

$$\Im\left(\chi_{ii}^{+-}(i\Omega_n \to \Omega_n + i\eta)\right) = \sum_{n,m} \left(\mathbf{u}_i^n \mathbf{u}_i^{n*} \mathbf{v}_i^m \mathbf{v}_i^{m*} - \mathbf{u}_i^n \mathbf{v}_i^{n*} \mathbf{v}_i^m \mathbf{u}_i^{m*}\right)$$
$$\times \Im\left(\frac{f(E_n) - f(E_m - \Omega_n - i\eta)}{\Omega_n + i\eta + E_n - E_m}\right)$$

(2) Since

$$\mathbf{u}_{i}^{n}\mathbf{u}_{i}^{n*}\mathbf{v}_{i}^{m}\mathbf{v}_{i}^{m*} - \mathbf{u}_{i}^{n}\mathbf{v}_{i}^{m*}\mathbf{v}_{i}^{m}\mathbf{u}_{i}^{m*} = |\mathbf{u}_{i}^{n}|^{2}|\mathbf{v}_{i}^{m}|^{2} - \mathbf{u}_{i}^{n}\mathbf{v}_{i}^{n*}\mathbf{v}_{i}^{m}\mathbf{u}_{i}^{m*}$$
$$\Im\left(\frac{1}{\Omega_{n}+i\eta+E_{n}-E_{m}}\right) = (-\pi)\delta(\Omega_{n}+E_{n}-E_{m})$$

Thus, we obtain

$$\Im\left(\chi_{ii}^{+-}(\Omega_{n}+i\eta)\right) = \sum_{n,n'} \left(\left|\mathbf{u}_{i}^{n}\right|^{2} |\mathbf{v}_{i}^{m}|^{2} - \mathbf{u}_{i}^{n} \mathbf{v}_{i}^{n*} \mathbf{v}_{i}^{m} \mathbf{u}_{i}^{m*}\right)$$

$$\times \left[f(E_{n}) - f(E_{m} - \Omega_{n} - i\eta)\right](-\pi)\delta(\Omega_{n} + E_{n} - E_{m})$$

$$\frac{1}{T_{1}T}\Big|_{\Omega_{n} \to 0} = \lim_{\Omega_{n} \to 0} \sum_{n,n'} \left(\left|\mathbf{u}_{i}^{n}\right|^{2} |\mathbf{v}_{i}^{m}|^{2} - \mathbf{u}_{i}^{n} \mathbf{v}_{i}^{n*} \mathbf{v}_{i}^{m} \mathbf{u}_{i}^{m*}\right)$$

$$\times \frac{f(E_{n}) - f(E_{m} - \Omega_{n} - i\eta)}{\Omega_{n}}(-\pi)\delta(\Omega_{n} + E_{n} - E_{m})$$

$$= \sum_{n,n'} \left(\left|\mathbf{u}_{i}^{n}\right|^{2} |\mathbf{v}_{i}^{m}|^{2} - \mathbf{u}_{i}^{n} \mathbf{v}_{i}^{n*} \mathbf{v}_{i}^{m} \mathbf{u}_{i}^{m*}\right) \left[-f'(E_{n})\pi\delta(E_{n} - E_{m})\right]$$

四第36頁